

## RESEARCH PAPERS

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Combination Rule of  $\Sigma$  Values at Triple Junctions in Cubic Polycrystals

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## Abstract

In cubic polycrystals, combinations of coincidence orientation relationships at a triple junction of grains *A*, *B* and *C* can be obtained by using the equation

$$\Sigma_{CA} = \Sigma_{AB}\Sigma_{BC}/d^2,$$

where *d* is a common divisor of  $\Sigma_{AB}$  and  $\Sigma_{BC}$ . This paper describes the derivation of this equation and shows several models of polycrystals composed of specially selected coincidence boundaries using the above equation.

## 1. Introduction

The orientation relationship between two overlapping cubic crystal lattices forming a coincidence-site lattice (CSL) is expressed by a special rotation matrix *R* (CSL matrix) (Warrington & Bufalini, 1971; Grimmer, Bollmann & Warrington, 1974),

$$R = (1/\Sigma)\tilde{R}, \quad (1)$$

where every element of  $\tilde{R}$  [ $(\tilde{R})_{ij}, 1 \leq i, j \leq 3$ ] is integral and  $(\tilde{R})_{ij}, 1 \leq i, j \leq 3$  and  $\Sigma$  have no common factors.  $\Sigma$  is an odd integer and defined as 'the ratio of the total number of lattice sites of one crystal to the number of coinciding lattice sites' (Warrington & Bufalini, 1971). Since coincidence boundaries are known to exhibit important properties in energy, fracture strength, corrosion resistivity, diffusion coefficients, electrical conductivity *etc.*, it is of great interest to design such a polycrystalline material as composed of specially selected grains with coincidence orientation relationships. Since crystal grains in a polycrystal are bound to triple junctions, it is necessary to investigate the rule that governs the orientation relationships of the grains around the triple junctions. For this purpose of grain boundary design, a formula to describe the CSL orientation relationships among the grains at triple junctions has been proposed (Miyazawa, Ishida & Mori, 1983; Takahashi, Miyazawa, Mori & Ishida, 1986). The purpose of this paper is to describe the formula in detail and to give several models of grain-boundary design in cubic polycrystals.

2. Combinations of  $\Sigma$  values at a triple junction

Consider a triple junction *O* formed by grains *A*, *B* and *C* with the same cubic unit cell.  $X_j(P)$  is a coordinate vector of a point *P* expressed by orthogonal coordinates fixed to the crystallographic axis system of grain *j*. The rotation matrix  $R_{ij}$  denoting the orientation relationship between grains *i* and *j* is defined by  $X_j(P) = R_{ij}^{-1}X_i(P)$ . From these definitions, the following equations are obtained if three orthogonal coordinates bound to the grains *A*, *B* and *C* have the same origin at the triple junction *O*:

$$X_B(P) = R_{AB}^{-1}X_A(P), \quad (2)$$

$$X_C(P) = R_{BC}^{-1}X_B(P), \quad (3)$$

$$X_A(P) = R_{CA}^{-1}X_C(P). \quad (4)$$

From (2), (3) and (4),

$$X_A(P) = R_{CA}^{-1}R_{BC}^{-1}R_{AB}^{-1}X_A(P), \quad (5)$$

then,

$$R_{AB}R_{BC}R_{CA} = E. \quad (6)$$

*E* is the unit matrix. It can be seen in (6) that, if two of the three rotation matrices express CSL orientation relationships, the third matrix also expresses a CSL orientation relationship.

The matrices at the triple junction are written as follows from (1) when grain boundaries *AB*, *BC* and *CA* are the coincidence boundaries:

$$R_{AB} = (1/\Sigma_{AB})\tilde{R}_{AB}, \quad R_{BC} = (1/\Sigma_{BC})\tilde{R}_{BC}, \quad (7)$$

$$R_{CA} = (1/\Sigma_{CA})\tilde{R}_{CA}.$$

From (6) and (7),

$$(1/\Sigma_{CA})\tilde{R}_{CA} = (1/\Sigma_{BC})\tilde{R}_{BC}(1/\Sigma_{AB})^t\tilde{R}_{AB}$$

$$= (1/\Sigma_{AB}\Sigma_{BC})^t\tilde{R}_{BC}^t\tilde{R}_{AB}^t, \quad (8)$$

where *t* means transpose.

Since every element of the product  ${}^t\tilde{R}_{BC}{}^t\tilde{R}_{AB}$  is integral, there must be an integer *l* such that

$$\Sigma_{CA} = \Sigma_{AB}\Sigma_{BC}/l. \quad (9)$$

Similarly,

$$\Sigma_{AB} = \Sigma_{BC} \Sigma_{CA} / m \quad (10)$$

and

$$\Sigma_{BC} = \Sigma_{CA} \Sigma_{AB} / n \quad (11)$$

for some integers  $m$  and  $n$ . From (9), (10) and (11),

$$\Sigma_{AB}^2 = ln, \quad (12)$$

$$\Sigma_{BC}^2 = lm, \quad (13)$$

$$\Sigma_{CA}^2 = mn. \quad (14)$$

If  $\Sigma_{AB}$  and  $\Sigma_{BC}$  are relatively prime,  $\Sigma_{AB}^2$  and  $\Sigma_{BC}^2$  are also relatively prime, therefore  $l$  must be equal to 1. Hence,  $\Sigma_{CA} = \Sigma_{AB} \Sigma_{BC}$ . The following result is obtained.

### Proposition 1

If  $\Sigma_{AB}$  and  $\Sigma_{BC}$  are the  $\Sigma$  values of coincidence boundaries  $AB$  and  $BC$  at a triple junction of  $A$ ,  $B$  and  $C$  grains and are relatively prime, then, at the boundary  $CA$ ,

$$\Sigma_{CA} = \Sigma_{AB} \Sigma_{BC}. \quad (15)$$

Equation (15) appeared in Doni & Bleris (1988) but it is a special case of the general expression (51).

Consider the case that  $R_{AB}$  and  $R_{BC}$  are the CSL matrices with  $\pi$  rotation axes. The  $\pi$  rotation matrix around the rotation axis  $[HKL]$  (matrix with  $[HKL]$  rotation axis of  $180^\circ$ ) can be expressed as

$$R = \frac{1}{M} \begin{bmatrix} 2H^2 - M & 2HK & 2HL \\ 2HK & 2K^2 - M & 2KL \\ 2HL & 2KL & 2L^2 - M \end{bmatrix}, \quad (16)$$

where integers  $H$ ,  $K$  and  $L$  do not have a common divisor except 1 and

$$M \equiv H^2 + K^2 + L^2. \quad (17)$$

The matrix  $R$  is defined as

$$R = R' / M. \quad (18)$$

If  $M$  is an odd number,  $M$  and all elements of  $R'$  do not have a common divisor except 1 and the matrix  $R$  is called 'irreducible'. This is proved as follows. Let us use the notation  $\alpha|\beta$ , which expresses that  $\beta$  is divisible by  $\alpha$ . Suppose  $d$  is a prime common divisor of  $M$  and  $R'_{ij, 1 \leq i, j \leq 3}$ , i.e.  $d|M$  and  $d|R'_{ij, 1 \leq i, j \leq 3}$ , which leads to  $d|2H^2 - M$ ,  $d|2K^2 - M$  and  $d|2L^2 - M$ . If  $M$  is odd,  $d \neq 2$ . Then, since  $d|M$  and  $d \neq 2$ ,  $d|H^2$ , i.e.  $d|H$ . Similarly,  $d|K$  and  $d|L$ .  $d$  must be equal to 1 because  $H$ ,  $K$  and  $L$  were assumed to be relatively prime. Therefore, (16) is irreducible when  $M$  is odd, and  $\Sigma = M$  from (1)

When  $M$  is even, two of  $H$ ,  $K$  and  $L$  must be odd and one of them must be even, i.e.  $(H, K, L)$  must be of type (odd, odd, even), (odd, even, odd) or (even, odd, odd).

Then,  $M/2$  is found to be odd. When  $M$  is even, (16) can be written as

$$R = [1/(M/2)] \times \begin{bmatrix} H^2 - (M/2) & HK & HL \\ HK & K^2 - (M/2) & KL \\ HL & KL & L^2 - (M/2) \end{bmatrix}, \quad (19)$$

where  $\Sigma = M/2$  in this case.

At a triple junction, if  $R_{AB}$  and  $R_{CA}$  are the  $\pi$  rotation matrices such that

$$R_{AB} = \frac{1}{M_1} \begin{bmatrix} 2H_1^2 - M_1 & 2H_1K_1 & 2H_1L_1 \\ 2H_1K_1 & 2K_1^2 - M_1 & 2K_1L_1 \\ 2H_1L_1 & 2K_1L_1 & 2L_1^2 - M_1 \end{bmatrix} \equiv (1/M_1)\tilde{R}_{AB}, \quad (20)$$

$$R_{BC} = \frac{1}{M_2} \begin{bmatrix} 2H_2^2 - M_2 & 2H_2K_2 & 2H_2L_2 \\ 2H_2K_2 & 2K_2^2 - M_2 & 2K_2L_2 \\ 2H_2L_2 & 2K_2L_2 & 2L_2^2 - M_2 \end{bmatrix} \equiv (1/M_2)\tilde{R}_{BC}, \quad (21)$$

then, from (6), the following equation is derived:

$$R_{CA}^{-1} = R_{AB} R_{BC} = (1/M_1 M_2) \times \begin{bmatrix} M_1 M_2 - 2(K_3^2 + L_3^2) & 2WL_3 + 2H_3K_3 & -2WK_3 + 2H_3L_3 \\ -2WL_3 + 2H_3K_3 & M_1 M_2 - 2(H_3^2 + L_3^2) & 2WH_3 + 2K_3L_3 \\ 2WK_3 + 2H_3L_3 & -2WH_3 + 2K_3L_3 & M_1 M_2 - 2(H_3^2 + K_3^2) \end{bmatrix}, \quad (22)$$

where

$$(H_3, K_3, L_3) \equiv (H_1, K_1, L_1) \times (H_2, K_2, L_2) \quad (23)$$

and

$$W = (H_1, K_1, L_1) \cdot (H_2, K_2, L_2). \quad (24)$$

Let the matrix  $\tilde{R}_{CA}$  be defined such that

$$R_{CA}^{-1} = (1/M_1 M_2)\tilde{R}_{CA}. \quad (25)$$

Suppose that an odd integer  $d$  is a prime common divisor of  $M_1$  and  $M_2$  and that  $d$  divides all elements of  $\tilde{R}_{CA}$  [=  $(\tilde{R}_{CA})_{ij, 1 \leq i, j \leq 3}$ ]. Then,

$$d|M_1 M_2 - 2(K_3^2 + L_3^2), \quad (26)$$

$$d|M_1 M_2 - 2(H_3^2 + L_3^2), \quad (27)$$

$$d|M_1 M_2 - 2(H_3^2 + K_3^2). \quad (28)$$

Therefore,  $d|K_3^2 + L_3^2$ ,  $d|H_3^2 + L_3^2$  and  $d|H_3^2 + K_3^2$ , leading to  $d|2H_3^2$ ,  $d|2K_3^2$ ,  $d|2L_3^2$ . Since  $d$  is odd,  $d|H_3^2$ ,  $d|K_3^2$ ,  $d|L_3^2$  and, since  $d$  is prime,

$$d|H_3, \quad d|K_3, \quad d|L_3. \quad (29)$$

From the condition of normalization,

$$\{M_1M_2 - 2(K_3^2 + L_3^2)\}^2 + (-2WL_3 + 2H_3K_3)^2 \\ + (2WK_3 + 2H_3L_3)^2 = (M_1M_2)^2,$$

then

$$(K_3^2 + L_3^2)W^2 = (K_3^2 + L_3^2)(M_1M_2 - H_3^2 - K_3^2 - L_3^2). \quad (30)$$

Case 1:  $K_3^2 + L_3^2 \neq 0$

In this case,

$$W^2 = M_1M_2 - H_3^2 - K_3^2 - L_3^2, \quad (31)$$

therefore  $d|W^2$  from (29) and then  $d|W$  because  $d$  is prime. Since  $d$  is a common divisor of  $H_3, K_3, L_3$  and  $W$ ,  $d^2$  is a common divisor of  $(\tilde{R}_{CA})_{ij}, 1 \leq i, j \leq 3$  and  $M_1M_2$ . If  $M'_1, M'_2, H'_3, K'_3, L'_3$  and  $W'$  are defined as  $M_1 = M'_1d$ ,  $M_2 = M'_2d$ ,  $H_3 = H'_3d$ ,  $K_3 = K'_3d$ ,  $L_3 = L'_3d$  and  $W = W'd$ , (22) becomes

$$R_{CA}^{-1} = (1/M'_iM'_j) \\ \times \begin{bmatrix} M'_1M'_2 - 2(K_3'^2 + L_3'^2) & 2W'L'_3 + 2H'_3K'_3 & -2W'K'_3 + 2H'_3L'_3 \\ -2W'L'_3 + 2H'_3K'_3 & M'_1M'_2 - 2(H_3'^2 + L_3'^2) & 2W'H'_3 + 2K'_3L'_3 \\ 2W'K'_3 + 2H'_3L'_3 & -2W'H'_3 + 2K'_3L'_3 & M'_1M'_2 - 2(H_3'^2 + K_3'^2) \end{bmatrix}. \quad (32)$$

Since (32) has the same matrix form as (22), each element in parentheses in (32) is shown to be divided by  $d^2$  again if  $d'$  is an odd prime common divisor of  $M'_1$  and  $M'_2$  and if  $d'$  divides every element in parentheses in (32).

Case 2:  $K_3^2 + L_3^2 = 0$

This time,  $K_3 = L_3 = 0$ , hence

$$K_3 = L_1H_2 - H_1L_2 = 0 \quad (33)$$

and

$$L_3 = H_1K_2 - K_1H_2 = 0. \quad (34)$$

If  $H_1 \neq 0$ , then  $H_3 = K_1L_2 - L_1K_2 = K_1(L_1H_2/H_1) - L_1(K_1H_2/H_1) = 0$ . This leads to

$$R_{CA}^{-1} = E = (1/M_1M_2)\tilde{R}_{CA} \quad (35)$$

and hence  $R_{AB} = R_{BC}^{-1}$  and  $\Sigma_{AB} = \Sigma_{BC}$ . It is obvious that every element of  $\tilde{R}_{CA}$  can be divided by  $d^2$  if  $d$  is defined as an odd common divisor of  $M_1$  and  $M_2$ .

If  $H_1 = 0$ , then  $L_1H_2 = 0$  and  $K_1H_2 = 0$  from (33) and (34). If  $H_2 \neq 0$ , then  $K_1 = L_1 = 0$ . Because the rotation axis  $[H_1K_1L_1]$  is not defined as the zero vector,  $H_2$  must be zero. The rotation matrices  $R_{AB}$  and  $R_{CA}$  with the rotation axes  $[0K_1L_1]$  and  $[0K_2L_2]$  are written as

$$R_{AB} = [1/(K_1^2 + L_1^2)] \\ \times \begin{bmatrix} -(K_1^2 + L_1^2) & 0 & 0 \\ 0 & K_1^2 - L_1^2 & 2K_1L_1 \\ 0 & 2K_1L_1 & -(K_1^2 - L_1^2) \end{bmatrix}, \quad (36)$$

$$R_{BC} = \frac{1}{K_2^2 + L_2^2} \begin{bmatrix} -(K_2^2 + L_2^2) & 0 & 0 \\ 0 & K_2^2 - L_2^2 & 2K_2L_2 \\ 0 & 2K_2L_2 & -(K_2^2 - L_2^2) \end{bmatrix}. \quad (37)$$

$R_{CA}^{-1}$  is written as

$$R_{CA}^{-1} = [1/(K_1^2 + L_1^2)(K_2^2 + L_2^2)] \\ \times \begin{bmatrix} (K_1^2 + L_1^2)(K_2^2 + L_2^2) & 0 & 0 \\ 0 & (K_1K_2 + L_1L_2)^2 & 2(K_1K_2 + L_1L_2) \\ 0 & -(K_1L_2 - L_1K_2)^2 & (K_1L_2 - L_1K_2) \\ & -2(K_1K_2 + L_1L_2) & (K_1K_2 + L_1L_2)^2 \\ & (K_1L_2 - L_1K_2) & -(K_1L_2 - L_1K_2)^2 \end{bmatrix} \quad (38)$$

$$= \frac{1}{x^2 + y^2} \begin{bmatrix} x^2 + y^2 & 0 & 0 \\ 0 & x^2 - y^2 & 2xy \\ 0 & -2xy & x^2 - y^2 \end{bmatrix} \quad (39)$$

$$= \tilde{R}_{CA}/M_1M_2, \quad (40)$$

where

$$M_1 \equiv K_1^2 + L_1^2 \quad (41)$$

and

$$M_2 \equiv K_2^2 + L_2^2. \quad (42)$$

In (39),  $x$  and  $y$  are defined such that

$$x = K_1K_2 + L_1L_2, \quad (43)$$

$$y = K_1L_2 - L_1L_2. \quad (44)$$

Let us assume here that  $d$  is an odd prime number common to  $M_1$  and  $M_2$ . If  $d$  divides every element of  $\tilde{R}_{CA}$ , it can be shown that  $d^2$  also divides every element of  $\tilde{R}_{CA}$ . This is proved as follows. From the assumption  $d|2xy$ , then  $d|x$  or  $d|y$ , since  $d$  is an odd prime number.

(i) If  $d|x$ , then  $d|y^2$ , from the assumption that  $d$  divides every element of  $\tilde{R}_{CA}$ . Since  $d$  is prime,  $d|y$ . Therefore, it is found the  $d^2$  divides every element of  $\tilde{R}_{CA}$ .

(ii) If  $d|y$ ,  $d^2$  is also proved to divide every element of  $\tilde{R}_{CA}$ .

From (i) and (ii), for an odd prime number  $d$  common to  $M_1$  and  $M_2$ , every element of  $\tilde{R}_{CA}$  can be divided by  $d^2$  if  $d$  divides every element of  $\tilde{R}_{CA}$ .

If  $M'_1, M'_2, x'$  and  $y'$  are defined as

$$M_1 = M'_1d, \quad M_2 = M'_2d, \quad x = x'd \quad \text{and} \quad y = y'd,$$

(39) becomes

$$R_{CA}^{-1} = \frac{1}{x'^2 + y'^2} \begin{bmatrix} x'^2 + y'^2 & 0 & 0 \\ 0 & x'^2 - y'^2 & 2x'y' \\ 0 & -2x'y' & x'^2 - y'^2 \end{bmatrix}. \quad (45)$$

Since (45) has the same matrix form as (39), every element in square brackets in (45) can be divided by  $d'^2$  again if  $d'$  is an odd prime common divisor of  $M'_1$  and  $M'_2$  and if  $d'$  divides every element in square brackets in (45).

A summary of the above discussions gives the following result.

**Proposition 2**

For two CSL matrices with  $\pi$  rotation axes,  $R_{AB} = (1/M_1)\tilde{R}_{AB}$  and  $R_{BC} = (1/M_2)\tilde{R}_{BC}$ , if  $d$  is an odd common divisor of  $M_1$  and  $M_2$  and if  $d$  divides every element of the product  $\tilde{R}_{AB}\tilde{R}_{BC}$ , then every element of  $\tilde{R}_{AB}\tilde{R}_{BC}$  can be divided by  $d^2$ .

Suppose  $d_1^\alpha d_2^\beta \dots d_n^\gamma$  to be the odd greatest common divisor of  $M_1$  and  $M_2$ , where  $d_1, d_2, \dots$  and  $d_n$  ( $d_i \neq d_j$  for  $i \neq j$ ) are odd prime numbers and the integers  $\alpha, \beta, \dots, \gamma \geq 1$ .  $M_1$  and  $M_2$  are denoted as  $M_1 = (d_1^\alpha d_2^\beta \dots d_n^\gamma)M'_1$  and  $M_2 = (d_1^\alpha d_2^\beta \dots d_n^\gamma)M'_2$ , where  $M'_1$  and  $M'_2$  have no common divisor except 1 or 2. Then, (25) is written as

$$R_{CA}^{-1} = \tilde{R}_{CA}/(d_1^\alpha d_2^\beta \dots d_n^\gamma)M'_1(d_1^\alpha d_2^\beta \dots d_n^\gamma)M'_2 \quad (46)$$

for  $\alpha, \beta, \gamma, \dots \geq 1$ .

If the integers  $d_1, d_2, \dots$  and  $d_n$  ( $d_i \neq d_j$  for  $i \neq j$ ) are assumed to be some of the prime numbers  $d_1, d_2, \dots$  and  $d_n$ , the product  $d_1 d_2 \dots d_n$  is a common divisor of  $M_1$  and  $M_2$ . If the product  $d_1 d_2 \dots d_n$  divides  $(\tilde{R}_{CA})_{ij, 1 \leq i, j \leq 3}$ , it is shown from the above discussion that  $(d_1 d_2 \dots d_n)^2$  can also divide  $(\tilde{R}_{CA})_{ij, 1 \leq i, j \leq 3}$ . If the quotient  $(\tilde{R}_{CA})_{ij, 1 \leq i, j \leq 3}/(d_1 d_2 \dots d_n)^2$  is denoted as  $(\tilde{R}'_{CA})_{ij, 1 \leq i, j \leq 3}$ , the following equation is obtained:

$$\begin{aligned} & \frac{(\tilde{R}_{CA})_{ij, 1 \leq i, j \leq 3}/(d_1 d_2 \dots d_n)^2}{(d_1^\alpha d_2^\beta \dots d_n^\gamma)M'_1(d_1^\alpha d_2^\beta \dots d_n^\gamma)M'_2/(d_1 d_2 \dots d_n)^2} \\ &= \frac{(\tilde{R}'_{CA})_{ij, 1 \leq i, j \leq 3}}{(d_1^\alpha d_2^\beta \dots d_n^\gamma)M'_1(d_1^\alpha d_2^\beta \dots d_n^\gamma)M'_2} \\ &\equiv (\tilde{R}'_{CA})_{ij, 1 \leq i, j \leq 3}/M'_1 M'_2 \quad (47) \end{aligned}$$

for integers  $\alpha, \beta, \gamma, \dots \geq 0$ .

Further, if  $(\tilde{R}'_{CA})_{ij, 1 \leq i, j \leq 3}$  can be divided by the odd common divisor  $d_1'' d_2'' \dots d_n''$  ( $d_i'' \neq d_j''$  for  $i'' \neq j''$ ) of  $M'_1$  and  $M'_2$ , and the quotient  $(\tilde{R}'_{CA})_{ij, 1 \leq i, j \leq 3}/(d_1'' d_2'' \dots d_n'')^2$  is denoted as  $(\tilde{R}''_{CA})_{ij, 1 \leq i, j \leq 3}$ , then

$$\begin{aligned} & \frac{(\tilde{R}'_{CA})_{ij, 1 \leq i, j \leq 3}/(d_1 d_2 \dots d_n)^2}{(d_1^\alpha d_2^\beta \dots d_n^\gamma)M'_1(d_1^\alpha d_2^\beta \dots d_n^\gamma)M'_2/(d_1 d_2 \dots d_n)^2} \\ &= \frac{(\tilde{R}''_{CA})_{ij, 1 \leq i, j \leq 3}}{(d_1^{\alpha''} d_2^{\beta''} \dots d_n^{\gamma''})M'_1(d_1^{\alpha''} d_2^{\beta''} \dots d_n^{\gamma''})M'_2} \\ &\equiv (\tilde{R}''_{CA})_{ij, 1 \leq i, j \leq 3}/M_1'' M_2'' \quad (48) \end{aligned}$$

for integers  $\alpha'', \beta'', \gamma'', \dots \geq 0$ . The above process is repeated until  $(\tilde{R}''_{CA})_{ij, 1 \leq i, j \leq 3}$  cannot be divided by the

common factor of  $M_1''$  and  $M_2''$ . Therefore,  $\Sigma_{CA}$  is found to have the form of the following equation when  $M_1$  and  $M_2$  are odd:

$$\Sigma_{CA} = \Sigma_{AB} \Sigma_{BC}/d^2, \quad (49)$$

where  $d$  is a common divisor of  $\Sigma_{AB}$  and  $\Sigma_{BC}$ .

The process to obtain (49) is applicable also when even factors are contained in  $M_1$  and  $M_2$  because the elements of matrix  $(\tilde{R}'_{CA})_{ij, 1 \leq i, j \leq 3}$  are divisible by the even factors after the successive divisions by odd factors. In this case, the following equation holds for  $\alpha, \beta = 0, 1$ :

$$\Sigma_{CA} = (M_1/2^\alpha)(M_2/2^\beta)/d^2 = \Sigma_{AB} \Sigma_{BC}/d^2. \quad (50)$$

Table 1 shows the numerically calculated axis and angle pairs of the CSL matrices that satisfy  $R_1 R_2 R_3 = E$ .  $\Sigma 3([111], 180.00)$  means the  $\Sigma 3$  CSL matrix whose rotation axis and angle are  $[111]$  and  $180.0^\circ$ , respectively. Equation (49) has been deduced using two coincidence matrices with the  $\pi$  rotation axes, whereas Table 1 shows that (49) holds also when  $R_{AB}$  and  $R_{BC}$  do not have the  $\pi$  rotation axis. From the numerical calculations, (49) is conjectured to be valid in general for the CSL matrices that do not have  $\pi$  rotation axes and is called 'the combination rule of  $\Sigma$  values at a triple junction' here.

The above discussions may be summarized as follows.

**Proposition 3**

At the triple junction of  $\Sigma_{AB}$ ,  $\Sigma_{BC}$  and  $\Sigma_{CA}$  coincidence boundaries, the following equation is obtained for a common divisor  $d$  of  $\Sigma_{AB}$  and  $\Sigma_{BC}$ :

$$\Sigma_{CA} = \Sigma_{AB} \Sigma_{BC}/d^2. \quad (51)$$

Similarly, for a common divisor  $d'$  of  $\Sigma_{BC}$  and  $\Sigma_{CA}$  and for a common divisor  $d''$  of  $\Sigma_{CA}$  and  $\Sigma_{AB}$ , the following equations hold at the triple junction:

$$\Sigma_{AB} = \Sigma_{BC} \Sigma_{CA}/d'^2 \quad (52)$$

$$\Sigma_{BC} = \Sigma_{AB} \Sigma_{CA}/d''^2. \quad (53)$$

From (51), (52) and (53), the following equation is obtained:

$$(\Sigma_{AB} \Sigma_{BC} \Sigma_{CA})^{1/2} = dd'd''. \quad (54)$$

**Proposition 4**

$(\Sigma_{AB} \Sigma_{BC} \Sigma_{CA})^{1/2}$  is an odd integer at the triple junction of coincidence boundaries with  $\Sigma_{AB}$ ,  $\Sigma_{BC}$  and  $\Sigma_{CA}$ .

Values of  $(\Sigma_{AB} \Sigma_{BC} \Sigma_{CA})^{1/2}$  are shown in Table 1. The value  $(\Sigma_{AB} \Sigma_{BC} \Sigma_{CA})^{1/2}$  is conjectured to be the unit-cell volume of the lattice that is formed from the

Table 1. Axis-angle pairs of the CSL matrices that satisfy  $R_1 R_2 R_3 = E$ 

No.	$R_1$	$R_2$	$R_3$	$(\Sigma_1 \Sigma_2 \Sigma_3)^{1/2}$
1	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 9([-1\ 2\ 2], 180.00)$	$\Sigma 3([0\ -1\ 1], 109.47)$	9
2	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 5([2\ 1\ 0], 180.00)$	$\Sigma 15([-1\ 2\ -1], 78.46)$	15
3	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 7([3\ 2\ 1], 180.00)$	$\Sigma 21b([-1\ 2\ -1], 44.42)$	21
4	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 7([0\ 1\ -2], 73.40)$	$\Sigma 21a([0\ -5\ -4], 162.25)$	21
5	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 9([2\ 2\ 1], 180.00)$	$\Sigma 27a([-1\ 1\ 0], 31.59)$	27
6	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 9([0\ 1\ -2], 96.38)$	$\Sigma 27b([1\ -4\ -3], 157.81)$	27
7	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 11([3\ 1\ 1], 180.00)$	$\Sigma 33c([0\ 1\ -1], 58.99)$	33
8	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 33a([1\ 4\ 4], 180.00)$	$\Sigma 11([0\ -1\ 1], 50.48)$	33
9	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 11([-1\ 0\ 3], 144.90)$	$\Sigma 33b([4\ -3\ 2], 139.25)$	33
10	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 13a([3\ 2\ 0], 180.00)$	$\Sigma 39b([-2\ 3\ -1], 73.62)$	39
11	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 13b([4\ 3\ 1], 180.00)$	$\Sigma 39b([-2\ 3\ -1], 50.13)$	39
12	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 13b([0\ 1\ -3], 76.66)$	$\Sigma 39a([0\ -7\ -5], 153.82)$	39
13	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 15([5\ 2\ 1], 180.00)$	$\Sigma 45c([-1\ 4\ -3], 65.03)$	45
14	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 15([0\ 1\ -2], 48.19)$	$\Sigma 45a([-2\ -7\ -6], 167.90)$	45
15	$\Sigma 3([1\ 1\ 1], 180.00)$	$\Sigma 15([-1\ 0\ 5], 137.17)$	$\Sigma 45b([7\ -4\ 3], 130.12)$	45
16	$\Sigma 5([2\ 1\ 0], 180.00)$	$\Sigma 25a([3\ 4\ 0], 180.00)$	$\Sigma 5([0\ 0\ 1], 53.13)$	25
17	$\Sigma 5([2\ 1\ 0], 180.00)$	$\Sigma 5([3\ -1\ 1], 95.74)$	$\Sigma 25b([7\ 1\ -5], 120.00)$	25
18	$\Sigma 5([2\ 1\ 0], 180.00)$	$\Sigma 7([3\ 2\ 1], 180.00)$	$\Sigma 35a([1\ -2\ 1], 34.05)$	35
19	$\Sigma 5([2\ 1\ 0], 180.00)$	$\Sigma 7([0\ 1\ -2], 73.40)$	$\Sigma 35b([4\ 7\ 2], 166.27)$	35
20	$\Sigma 5([2\ 1\ 0], 180.00)$	$\Sigma 9([2\ 2\ 1], 180.00)$	$\Sigma 45c([1\ -2\ 2], 53.13)$	45
21	$\Sigma 5([2\ 1\ 0], 180.00)$	$\Sigma 9([3\ 1\ 1], 67.11)$	$\Sigma 45b([1\ 3\ -1], 117.10)$	45
22	$\Sigma 5([2\ 1\ 0], 180.00)$	$\Sigma 9([-5\ 1\ -1], 120.00)$	$\Sigma 45a([-5\ -5\ -7], 95.74)$	45
23	$\Sigma 7([3\ 2\ 1], 180.00)$	$\Sigma 49c([2\ 6\ 3], 180.00)$	$\Sigma 7([0\ -1\ 2], 73.40)$	49
24	$\Sigma 7([3\ 2\ 1], 180.00)$	$\Sigma 7([3\ 3\ -1], 110.93)$	$\Sigma 49b([2\ 6\ 3], 90.00)$	49
25	$\Sigma 7([3\ 2\ 1], 180.00)$	$\Sigma 49a([8\ 3\ 5], 180.00)$	$\Sigma 7([1\ -1\ -1], 38.21)$	49
26	$\Sigma 9([2\ 2\ 1], 180.00)$	$\Sigma 9([-5\ 1\ -1], 120.00)$	$\Sigma 9([-1\ -1\ -5], 120.00)$	27
27	$\Sigma 9([2\ 2\ 1], 180.00)$	$\Sigma 15([5\ 2\ 1], 180.00)$	$\Sigma 15([0\ 1\ -2], 48.19)$	45
28	$\Sigma 9([2\ 2\ 1], 180.00)$	$\Sigma 21b([3\ 7\ -5], 167.47)$	$\Sigma 21a([-5\ 5\ 3], 113.88)$	63
29	$\Sigma 9([2\ 2\ 1], 180.00)$	$\Sigma 27b([-3\ -4\ 2], 94.25)$	$\Sigma 27a([-6\ -1\ -1], 114.04)$	81
30	$\Sigma 9([2\ 2\ 1], 180.00)$	$\Sigma 27b([3\ 5\ -4], 148.41)$	$\Sigma 27b([-3\ 5\ 2], 114.04)$	81
31	$\Sigma 9([2\ 2\ 1], 180.00)$	$\Sigma 33a([1\ -7\ -9], 170.01)$	$\Sigma 33b([3\ -7\ 5], 104.93)$	99
32	$\Sigma 9([2\ 2\ 1], 180.00)$	$\Sigma 33b([-1\ -5\ -6], 151.50)$	$\Sigma 33b([1\ -5\ 2], 84.78)$	99
33	$\Sigma 9([2\ 2\ 1], 180.00)$	$\Sigma 39a([-2\ 7\ 5], 180.00)$	$\Sigma 39b([1\ -4\ 6], 111.04)$	117
34	$\Sigma 9([2\ 2\ 1], 180.00)$	$\Sigma 45c([-3\ -4\ -1], 65.03)$	$\Sigma 45b([-6\ -5\ -2], 116.39)$	135
35	$\Sigma 9([2\ 2\ 1], 180.00)$	$\Sigma 45b([-3\ -11\ 7], 171.45)$	$\Sigma 45a([-9\ 5\ 5], 117.10)$	135
36	$\Sigma 15([5\ 2\ 1], 180.00)$	$\Sigma 15([-4\ 2\ 1], 113.58)$	$\Sigma 25b([-5\ 1\ -7], 120.00)$	75
37	$\Sigma 15([5\ 2\ 1], 180.00)$	$\Sigma 25a([0\ 7\ 1], 180.00)$	$\Sigma 15([-1\ -1\ 7], 134.43)$	75
38	$\Sigma 15([5\ 2\ 1], 180.00)$	$\Sigma 21b([3\ 7\ -5], 167.47)$	$\Sigma 35a([-2\ 5\ 5], 122.88)$	105
39	$\Sigma 15([5\ 2\ 1], 180.00)$	$\Sigma 35a([5\ -3\ 1], 180.00)$	$\Sigma 21a([1\ 0\ -5], 103.77)$	105
40	$\Sigma 15([5\ 2\ 1], 180.00)$	$\Sigma 21a([-1\ -9\ -1], 167.47)$	$\Sigma 35b([-2\ -1\ 7], 122.88)$	105
41	$\Sigma 15([5\ 2\ 1], 180.00)$	$\Sigma 45a([5\ -8\ 1], 180.00)$	$\Sigma 27a([1\ 0\ -5], 157.81)$	135
42	$\Sigma 15([5\ 2\ 1], 180.00)$	$\Sigma 27a([5\ -3\ 5], 95.31)$	$\Sigma 45c([8\ -1\ -3], 130.12)$	135
43	$\Sigma 15([5\ 2\ 1], 180.00)$	$\Sigma 27a([7\ -3\ -5], 122.48)$	$\Sigma 45b([3\ 7\ -4], 130.12)$	135
44	$\Sigma 15([5\ 2\ 1], 180.00)$	$\Sigma 45b([5\ 4\ 2], 180.00)$	$\Sigma 27b([0\ -1\ 2], 35.43)$	135
45	$\Sigma 15([5\ 2\ 1], 180.00)$	$\Sigma 27b([3\ 5\ -4], 148.41)$	$\Sigma 45c([-1\ 9\ 7], 117.10)$	135
46	$\Sigma 15([5\ 2\ 1], 180.00)$	$\Sigma 27b([-3\ 7\ 7], 168.96)$	$\Sigma 45a([2\ -6\ 7], 167.90)$	135
47	$\Sigma 21b([4\ 2\ 1], 180.00)$	$\Sigma 21b([-1\ -5\ -7], 141.79)$	$\Sigma 49c([-1\ -11\ 5], 120.00)$	147
48	$\Sigma 21b([4\ 2\ 1], 180.00)$	$\Sigma 49b([9\ 1\ 4], 180.00)$	$\Sigma 21b([1\ -1\ -2], 44.42)$	147
49	$\Sigma 21b([4\ 2\ 1], 180.00)$	$\Sigma 49a([-8\ 3\ 5], 180.00)$	$\Sigma 21b([-1\ 4\ -4], 124.85)$	147
50	$\Sigma 21b([4\ 2\ 1], 180.00)$	$\Sigma 21a([4\ -5\ 0], 162.25)$	$\Sigma 49c([3\ 2\ -9], 156.69)$	147
51	$\Sigma 21b([4\ 2\ 1], 180.00)$	$\Sigma 21a([5\ 5\ -3], 113.88)$	$\Sigma 49b([3\ 9\ 5], 99.99)$	147
52	$\Sigma 21a([5\ 4\ 1], 180.00)$	$\Sigma 49a([8\ 5\ 3], 180.00)$	$\Sigma 21a([1\ -1\ -1], 21.79)$	147
53	$\Sigma 25a([4\ 3\ 0], 180.00)$	$\Sigma 25b([4\ 3\ 0], 90.00)$	$\Sigma 25b([4\ 3\ 0], 90.00)$	125
54	$\Sigma 25b([4\ 3\ 5], 180.00)$	$\Sigma 25b([4\ 3\ 0], 90.00)$	$\Sigma 25b([1\ 7\ 5], 120.00)$	125
55	$\Sigma 25a([4\ 3\ 0], 180.00)$	$\Sigma 35a([7\ -1\ 3], 80.96)$	$\Sigma 35b([9\ 3\ -5], 130.01)$	175
56	$\Sigma 25b([5\ 4\ 3], 180.00)$	$\Sigma 35a([5\ 3\ 1], 180.00)$	$\Sigma 35a([-1\ 2\ -1], 34.05)$	175
57	$\Sigma 25b([4\ 3\ 0], 90.00)$	$\Sigma 35a([-1\ -7\ -9], 150.63)$	$\Sigma 35b([2\ -1\ 7], 122.88)$	175
58	$\Sigma 25b([5\ 4\ 3], 180.00)$	$\Sigma 35b([4\ 1\ 2], 66.42)$	$\Sigma 35b([4\ 3\ 1], 119.06)$	175
59	$\Sigma 25a([4\ 3\ 0], 180.00)$	$\Sigma 45c([-1\ 8\ 3], 130.12)$	$\Sigma 45c([5\ 0\ 7], 130.12)$	225
60	$\Sigma 25a([4\ 3\ 0], 180.00)$	$\Sigma 45b([-11\ 3\ -1], 117.1)$	$\Sigma 45a([-5\ -5\ -9], 117.10)$	225
61	$\Sigma 25b([5\ 4\ 3], 180.00)$	$\Sigma 45c([1\ -4\ -8], 143.13)$	$\Sigma 45b([1\ -11\ 3], 117.10)$	225
62	$\Sigma 25b([4\ 3\ 0], 90.00)$	$\Sigma 45c([8\ 1\ -4], 143.13)$	$\Sigma 45a([4\ 3\ -4], 145.31)$	225
63	$\Sigma 25b([5\ 4\ 3], 180.00)$	$\Sigma 45b([2\ -5\ 5], 101.54)$	$\Sigma 45a([13\ 1\ -3], 171.45)$	225
64	$\Sigma 25b([5\ 4\ 3], 180.00)$	$\Sigma 45b([-6\ 5\ 5], 155.66)$	$\Sigma 45b([3\ -7\ 11], 171.45)$	225
65	$\Sigma 25b([4\ 3\ 0], 90.00)$	$\Sigma 45a([4\ 3\ -2], 106.79)$	$\Sigma 45a([6\ 7\ -2], 167.90)$	225
66	$\Sigma 25b([4\ 3\ 0], 90.00)$	$\Sigma 45a([-3\ 4\ 4], 145.31)$	$\Sigma 45b([-1\ -2\ -9], 155.65)$	225
67	$\Sigma 35a([5\ 3\ 1], 180.00)$	$\Sigma 49c([3\ 6\ 2], 180.00)$	$\Sigma 35a([0\ -1\ 3], 64.62)$	245
68	$\Sigma 35a([5\ 3\ 1], 180.00)$	$\Sigma 35a([5\ 4\ -2], 106.60)$	$\Sigma 49b([3\ 6\ 2], 90.00)$	245
69	$\Sigma 35a([5\ 3\ 1], 180.00)$	$\Sigma 35b([4\ 1\ 2], 66.42)$	$\Sigma 49a([8\ 3\ 0], 119.33)$	245
70	$\Sigma 35a([5\ 3\ 1], 180.00)$	$\Sigma 35b([-7\ 2\ -1], 122.88)$	$\Sigma 49b([-3\ -2\ -7], 105.39)$	245
71	$\Sigma 35a([5\ 3\ 1], 180.00)$	$\Sigma 35b([-3\ 3\ 1], 43.23)$	$\Sigma 49c([-11\ -5\ -7], 171.81)$	245
72	$\Sigma 35b([6\ 5\ 3], 180.00)$	$\Sigma 49c([6\ -2\ 3], 180.00)$	$\Sigma 35b([1\ 0\ -2], 106.60)$	245
73	$\Sigma 35b([6\ 5\ 3], 180.00)$	$\Sigma 35b([3\ 5\ 9], 130.00)$	$\Sigma 49b([6\ -2\ 3], 90.00)$	245
74	$\Sigma 49c([6\ 3\ 2], 180.00)$	$\Sigma 49c([-11\ 5\ 1], 120.00)$	$\Sigma 49c([-5\ 1\ -11], 120.00)$	343
75	$\Sigma 49c([6\ 3\ 2], 180.00)$	$\Sigma 49a([-3\ -13\ -3], 155.25)$	$\Sigma 49b([-5\ -3\ 9], 99.99)$	343
76	$\Sigma 49c([6\ 3\ 2], 180.00)$	$\Sigma 49b([9\ 5\ -3], 99.99)$	$\Sigma 49b([5\ 9\ 3], 99.99)$	343

coincidence points of three crystal grains. This will be discussed elsewhere.

Candidate  $\Sigma$  values at a triple junction are easily obtained by putting  $\Sigma_1 = pq$ ,  $\Sigma_2 = qr$  and  $\Sigma_3 = rp$  for odd integers  $p, q$  and  $r$ .

### 3. Application of the combination rule to two-dimensional polycrystals

Using (51), two-dimensional polycrystals with various combinations of coincidence boundaries can be designed. But, in this paper, design of coincidence boundaries with a finite number of  $\Sigma$  values is considered. To construct grain boundaries of a two-dimensional polycrystal using a finite number of  $\Sigma (\neq 1)$  values is identical to assigning a finite number of CSL matrices to the grains so that no adjacent grains have the same matrix. According to Foulds (1992), based on the four-colour theorem by Appel & Haken (1976), 'Any map on a plane surface can be colored with at most four colors so that no two adjacent regions have the same color'. If the four colors are substituted by the four CSL matrices  $E, R_1, R_2$  and  $R_3$ , every

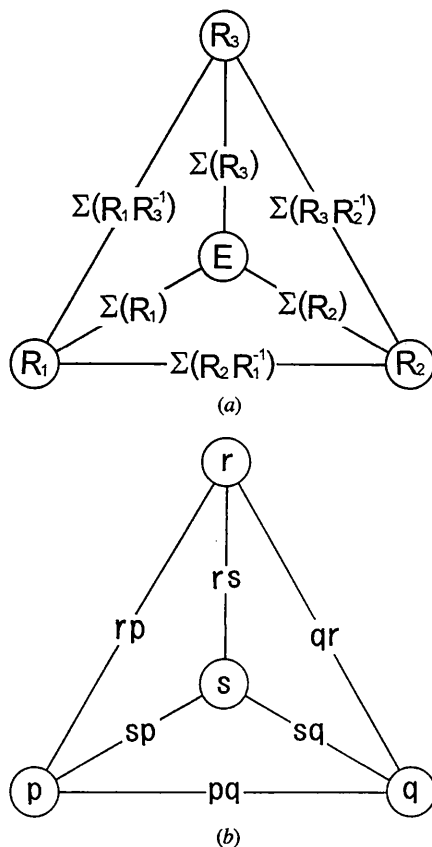


Fig. 1. (a) Relationships among the CSL matrices  $E, R_1, R_2$  and  $R_3$ .  
(b) A diagram to search the candidate  $\Sigma$  values in (a) using odd integers  $p, q, r$  and  $s$ .

crystal grain can have one of the CSL matrices so that no two adjacent grains have the same CSL matrix.

Relationships among  $E, R_1, R_2$  and  $R_3$  are schematically shown in Fig. 1(a) with the  $\Sigma$  values derived from the orientation difference between two matrices. Fig. 1(a) shows that all grain boundaries in a two-dimensional polycrystal can be constructed from at most six different  $\Sigma$  values. Fig. 1(a) contains four triangles,  $ER_1R_2, ER_2R_3, ER_1R_3$  and  $R_1R_2R_3$ . When three matrices of each triangle's vertices are assumed to be the coincidence matrices of grains forming a triple junction, the  $\Sigma$  values on the triangle edges must satisfy the combination rule. A diagram to find the candidate  $\Sigma$  values is therefore proposed in Fig. 1(b) for odd integer  $p, q, r$  and  $s$ .

In reality, it is possible to construct grain boundaries from a more limited number of  $\Sigma$  values. For example, if the matrices are selected as

$$R_1 = \frac{1}{3} \begin{bmatrix} \bar{1} & 2 & 2 \\ 2 & \bar{1} & 2 \\ 2 & 2 & \bar{1} \end{bmatrix}, \quad R_2 = \frac{1}{3} \begin{bmatrix} \bar{1} & 2 & \bar{2} \\ 2 & \bar{1} & \bar{2} \\ \bar{2} & \bar{2} & \bar{1} \end{bmatrix},$$

$$R_3 = \frac{1}{3} \begin{bmatrix} \bar{1} & \bar{2} & 2 \\ \bar{2} & \bar{1} & \bar{2} \\ 2 & \bar{2} & \bar{1} \end{bmatrix},$$

$\Sigma$  values other than 3 and 9 do not appear because

$$R_2 R_1^{-1} = \frac{1}{9} \begin{bmatrix} 1 & \bar{8} & 4 \\ 8 & \bar{1} & 4 \\ \bar{4} & \bar{4} & \bar{7} \end{bmatrix}, \quad R_3 R_2^{-1} = \frac{1}{9} \begin{bmatrix} \bar{7} & \bar{4} & 4 \\ 4 & 1 & 8 \\ \bar{4} & 8 & 1 \end{bmatrix},$$

$$R_1 R_3^{-1} = \frac{1}{9} \begin{bmatrix} 1 & \bar{4} & \bar{8} \\ 4 & \bar{7} & 4 \\ \bar{8} & \bar{4} & 1 \end{bmatrix}.$$

If these matrices are assigned to the grains, the grain boundaries are made up of only  $\Sigma 3$  and  $\Sigma 9$  boundaries as shown in Fig. 2, where the combination rule is seen to hold at every triple junction.

Using the following CSL matrices,

$$R_1 = \frac{1}{9} \begin{bmatrix} \bar{1} & 8 & 4 \\ 8 & \bar{1} & 4 \\ 4 & 4 & \bar{7} \end{bmatrix}, \quad R_2 = \frac{1}{9} \begin{bmatrix} \bar{7} & \bar{4} & 4 \\ \bar{4} & \bar{1} & \bar{8} \\ 4 & \bar{8} & \bar{1} \end{bmatrix},$$

$$R_3 = \frac{1}{9} \begin{bmatrix} \bar{1} & \bar{4} & \bar{8} \\ \bar{4} & \bar{7} & 4 \\ \bar{8} & 4 & \bar{1} \end{bmatrix},$$

it is possible to make a polycrystal model that contains only  $\Sigma 9$  boundaries since the following relationships are obtained in this case:

$$R_2 R_1^{-1} = \frac{1}{9} \begin{bmatrix} \bar{1} & \bar{4} & \bar{8} \\ \bar{4} & \bar{7} & 4 \\ \bar{8} & 4 & \bar{1} \end{bmatrix}, \quad R_3 R_2^{-1} = \frac{1}{9} \begin{bmatrix} \bar{1} & 8 & 4 \\ 8 & \bar{1} & 4 \\ 4 & 4 & \bar{7} \end{bmatrix},$$

$$R_1 R_3^{-1} = \frac{1}{9} \begin{bmatrix} \bar{7} & \bar{4} & 4 \\ \bar{4} & \bar{1} & \bar{8} \\ 4 & \bar{8} & \bar{1} \end{bmatrix}.$$

Table 2 shows such combinations of CSL matrices that are closed about one, two or three kinds of  $\Sigma$  values.

#### 4. Application of the combination rule to three-dimensional polycrystals

In three-dimensional polycrystals, quadruple junctions where four crystal grains meet appear. Fig. 3 shows a model of quadruple junction  $O$  formed by the grains 1, 2, 3 and 4 with a shape of a truncated octahedron. Six grain boundaries denoted as  $OP_1P_2P_3$  (GB1),  $OP_1P_4P_5P_6P_7$  (GB2),  $OP_1P_{18}P_{17}P_{16}P_{12}$  (GB3),  $OP_3P_8P_9P_{10}P_7$  (GB4),  $OP_3P_{15}P_{14}P_{13}P_{12}$  (GB5) and  $OP_7P_{11}P_{12}$  (GB6) meet at point  $O$  and are assumed to be coincidence boundaries with  $\Sigma$  values of  $\Sigma_{GB1}$ ,  $\Sigma_{GB2}$ ,  $\Sigma_{GB3}$ ,  $\Sigma_{GB4}$ ,  $\Sigma_{GB5}$  and  $\Sigma_{GB6}$ , respectively. The coincidence boundaries form four sets of  $\Sigma$  values,  $\Sigma_{GB1} - \Sigma_{GB2} - \Sigma_{GB3}$ ,  $\Sigma_{GB2} - \Sigma_{GB4} - \Sigma_{GB6}$ ,  $\Sigma_{GB3} - \Sigma_{GB5} - \Sigma_{GB6}$  and  $\Sigma_{GB1} - \Sigma_{GB4} - \Sigma_{GB5}$ , around the triple lines  $OP_1$ ,  $OP_7$ ,  $OP_{12}$  and  $OP_3$ , respectively, and their relationships are schematically shown in Fig. 4. The  $\Sigma$  values of each set must satisfy the combination rule but are confined to the values that are determined by the crystal orientation relationships around the quadruple junction. Since the orientation relationships of grains at quadruple junction are described by the

same diagram as Fig. 1(a), the CSL matrices of Table 2 are also applicable to the case of a quadruple junction.

Fig. 5 shows a cubic unit cell composed of eight b.c.c. sub unit cells. Four CSL matrices  $E$ ,  $R_1$ ,  $R_2$  and  $R_3$  are put to each lattice point so that the same matrix does not come to the nearest neighbors by three-dimensional translations of the unit cell. The figure demonstrates that whole grain boundaries in a polycrystalline aggregate become the coincidence boundaries with specially selected  $\Sigma$  values if crystal grains have the shape of a truncated octahedron. For example, three-dimensional polycrystal with only  $\Sigma 3$  and  $\Sigma 9$  boundaries, or  $\Sigma 9$  boundaries or  $\Sigma 3$ ,  $\Sigma 5$  and  $\Sigma 15$  boundaries, or  $\Sigma 25$  boundaries can be con-

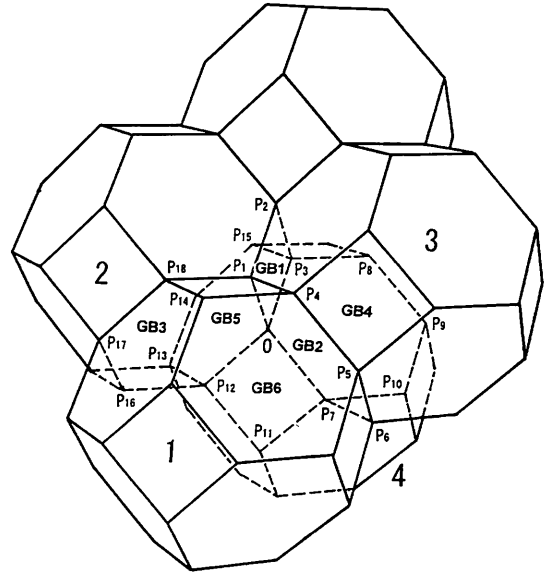


Fig. 3. A model of quadruple junction  $O$  formed by the truncated octahedrons 1, 2, 3 and 4.

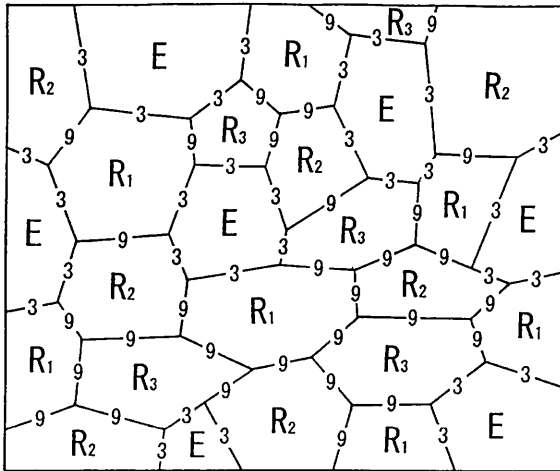


Fig. 2. A two-dimensional polycrystal model composed of only  $\Sigma 3$  and  $\Sigma 9$  boundaries. Matrices  $E$ ,  $R_1$ ,  $R_2$  and  $R_3$  represent orientation of grains.

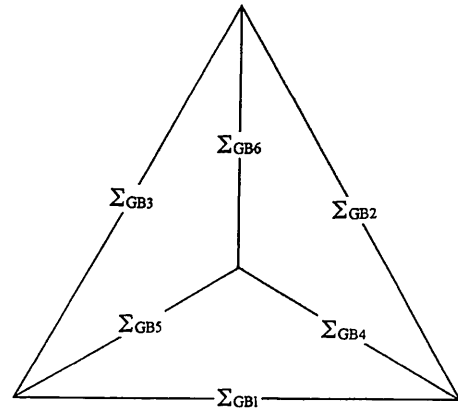


Fig. 4. A diagram showing the relationships among the six coincidence boundaries meeting at the quadruple junction  $O$  of Fig. 3.

Table 2. Combinations of the CSL matrices (axis-angle pairs) closed for  $\Sigma$  values  $\leq 49$ 

No.	$R_1$	$R_2$	$R_3$	$R_2R_1^{-1}$	$R_3R_2^{-1}$	$R_1R_3^{-1}$
1	$\Sigma 3$ ([1 1 1], 180.00)	$\Sigma 3$ ([-1 1 1], 180.00)	$\Sigma 3$ ([1 -1 1], 180.00)	$\Sigma 9$ ([0 -1 1], 141.06)	$\Sigma 9$ ([-1 -1 0], 141.06)	$\Sigma 9$ ([-1 0 1], 141.06)
2	$\Sigma 3$ ([1 1 1], 180.00)	$\Sigma 5$ ([0 2 1], 180.00)	$\Sigma 15$ ([5 -1 2], 180.00)	$\Sigma 15$ ([-1 -1 2], 78.46)	$\Sigma 3$ ([-1 -1 2], 180.00)	$\Sigma 5$ ([-1 -1 2], 101.54)
3	$\Sigma 3$ ([1 1 1], 180.00)	$\Sigma 7$ ([3 2 1], 180.00)	$\Sigma 21b$ ([-2 1 4], 180.00)	$\Sigma 21b$ ([-1 2 -1], 44.42)	$\Sigma 3$ ([1 -2 1], 180.00)	$\Sigma 7$ ([-1 2 -1], 135.59)
4	$\Sigma 3$ ([1 1 1], 180.00)	$\Sigma 7$ ([-3 2 1], 180.00)	$\Sigma 21a$ ([-1 -4 5], 180.00)	$\Sigma 21a$ ([-1 -4 5], 180.00)	$\Sigma 3$ ([1 1 1], 180.00)	$\Sigma 7$ ([-3 2 1], 180.00)
5	$\Sigma 3$ ([1 1 1], 180.00)	$\Sigma 9$ ([2 2 1], 180.00)	$\Sigma 9$ ([1 -2 2], 180.00)	$\Sigma 27a$ ([-1 1 0], 31.59)	$\Sigma 9$ ([-2 1 2], 180.00)	$\Sigma 27b$ ([-4 1 3], 157.81)
6	$\Sigma 3$ ([1 1 1], 180.00)	$\Sigma 9$ ([-2 2 1], 180.00)	$\Sigma 9$ ([-1 -2 2], 180.00)	$\Sigma 27b$ ([-1 -3 4], 157.81)	$\Sigma 9$ ([2 1 2], 180.00)	$\Sigma 27b$ ([4 -3 -1], 157.81)
7	$\Sigma 3$ ([1 1 1], 180.00)	$\Sigma 11$ ([3 1 1], 180.00)	$\Sigma 33a$ ([1 4 4], 180.00)	$\Sigma 33c$ ([0 1 -1], 58.99)	$\Sigma 3$ ([0 -1 -1], 109.47)	$\Sigma 11$ ([0 1 -1], 50.48)
8	$\Sigma 3$ ([1 1 1], 180.00)	$\Sigma 13a$ ([3 2 0], 180.00)	$\Sigma 39b$ ([-2 3 -1], 50.13)	$\Sigma 39b$ ([-2 3 -1], 73.62)	$\Sigma 3$ ([-2 -1 1], 180.00)	$\Sigma 13b$ ([4 3 1], 180.00)
9	$\Sigma 5$ ([1 0 0], 36.87)	$\Sigma 7$ ([3 -2 1], 180.00)	$\Sigma 35a$ ([-5 1 3], 180.00)	$\Sigma 35a$ ([9 -7 1], 150.63)	$\Sigma 5$ ([1 2 1], 101.54)	$\Sigma 7$ ([-3 0 2], 149.00)
10	$\Sigma 5$ ([2 1 0], 180.00)	$\Sigma 9$ ([1 -2 2], 180.00)	$\Sigma 45b$ ([-2 4 5], 180.00)	$\Sigma 45b$ ([-2 4 5], 180.00)	$\Sigma 5$ ([2 1 0], 180.00)	$\Sigma 9$ ([1 -2 2], 180.00)
11	$\Sigma 9$ ([2 2 1], 180.00)	$\Sigma 9$ ([1 -2 2], 180.00)	$\Sigma 9$ ([-2 1 2], 180.00)	$\Sigma 9$ ([-2 1 2], 180.00)	$\Sigma 9$ ([2 2 1], 180.00)	$\Sigma 9$ ([1 -2 2], 180.00)
12	$\Sigma 9$ ([2 2 1], 180.00)	$\Sigma 27a$ ([5 -1 1], 180.00)	$\Sigma 27b$ ([1 7 2], 180.00)	$\Sigma 27b$ ([1 1 -4], 109.47)	$\Sigma 9$ ([-1 -1 4], 180.00)	$\Sigma 27a$ ([1 1 -4], 70.53)
13	$\Sigma 9$ ([2 2 1], 180.00)	$\Sigma 27a$ ([5 -1 1], 180.00)	$\Sigma 27b$ ([-1 2 7], 180.00)	$\Sigma 27b$ ([1 1 -4], 109.47)	$\Sigma 9$ ([-1 -4 1], 180.00)	$\Sigma 27b$ ([-4 5 -2], 131.81)
14	$\Sigma 9$ ([2 2 1], 180.00)	$\Sigma 27b$ ([1 7 2], 180.00)	$\Sigma 27b$ ([-1 2 7], 180.00)	$\Sigma 27a$ ([-1 -1 4], 70.53)	$\Sigma 9$ ([5 -1 1], 120.00)	$\Sigma 27b$ ([-4 5 -2], 131.81)
15	$\Sigma 9$ ([2 2 1], 180.00)	$\Sigma 45b$ ([2 5 4], 180.00)	$\Sigma 45a$ ([8 5 1], 180.00)	$\Sigma 45c$ ([1 -2 2], 53.13)	$\Sigma 9$ ([-1 2 -2], 90.00)	$\Sigma 45b$ ([1 -2 2], 36.87)
16	$\Sigma 9$ ([2 2 1], 180.00)	$\Sigma 45c$ ([-7 5 4], 180.00)	$\Sigma 45a$ ([1 -5 8], 180.00)	$\Sigma 45a$ ([1 -5 8], 180.00)	$\Sigma 9$ ([2 2 1], 180.00)	$\Sigma 45c$ ([-7 5 4], 180.00)
17	$\Sigma 9$ ([2 2 1], 180.00)	$\Sigma 45c$ ([4 7 5], 180.00)	$\Sigma 45b$ ([-2 4 5], 180.00)	$\Sigma 45b$ ([1 -2 2], 36.87)	$\Sigma 9$ ([1 -2 2], 90.00)	$\Sigma 45a$ ([1 -2 2], 126.87)
18	$\Sigma 15$ ([5 2 1], 180.00)	$\Sigma 21a$ ([5 -1 4], 180.00)	$\Sigma 35a$ ([-5 1 3], 180.00)	$\Sigma 35b$ ([3 -5 -5], 80.96)	$\Sigma 15$ ([1 5 0], 137.17)	$\Sigma 21b$ ([1 -2 2], 103.77)
19	$\Sigma 15$ ([5 2 1], 180.00)	$\Sigma 21b$ ([2 -1 4], 180.00)	$\Sigma 35a$ ([5 1 3], 180.00)	$\Sigma 35a$ ([1 -2 -1], 122.88)	$\Sigma 15$ ([-1 2 1], 78.46)	$\Sigma 21b$ ([-1 2 1], 44.42)
20	$\Sigma 15$ ([5 2 1], 180.00)	$\Sigma 21b$ ([-1 2 4], 180.00)	$\Sigma 35a$ ([5 -3 1], 180.00)	$\Sigma 35b$ ([2 -7 4], 166.27)	$\Sigma 15$ ([-2 -3 1], 150.07)	$\Sigma 21a$ ([-1 0 5], 103.77)
21	$\Sigma 15$ ([5 2 1], 180.00)	$\Sigma 21b$ ([1 4 2], 180.00)	$\Sigma 35b$ ([5 6 3], 180.00)	$\Sigma 35b$ ([0 -1 2], 106.60)	$\Sigma 15$ ([0 1 -2], 48.19)	$\Sigma 21b$ ([0 1 -2], 58.41)
22	$\Sigma 15$ ([5 2 1], 180.00)	$\Sigma 21b$ ([2 -4 1], 180.00)	$\Sigma 35b$ ([5 -3 6], 180.00)	$\Sigma 35a$ ([2 -1 -8], 166.27)	$\Sigma 15$ ([-3 -1 2], 86.18)	$\Sigma 21a$ ([-3 5 5], 113.88)
23	$\Sigma 25a$ ([4 3 0], 180.00)	$\Sigma 25b$ ([4 3 0], 90.00)	$\Sigma 25b$ ([-4 -3 0], 90.00)	$\Sigma 25b$ ([-4 -3 0], 90.00)	$\Sigma 25a$ ([4 3 0], 180.00)	$\Sigma 25b$ ([-4 -3 0], 90.00)
24	$\Sigma 25a$ ([4 3 0], 180.00)	$\Sigma 25b$ ([4 3 0], 90.00)	$\Sigma 25b$ ([-3 4 0], 90.00)	$\Sigma 25b$ ([-3 4 0], 90.00)	$\Sigma 25b$ ([-7 1 5], 120.00)	$\Sigma 25b$ ([-4 -3 5], 180.00)
25	$\Sigma 49a$ ([8 5 3], 180.00)	$\Sigma 49b$ ([-9 4 1], 180.00)	$\Sigma 49b$ ([-1 9 4], 180.00)	$\Sigma 49c$ ([1 5 -11], 120.00)	$\Sigma 49c$ ([1 5 -11], 120.00)	$\Sigma 49c$ ([1 5 -11], 120.00)
26	$\Sigma 49b$ ([9 4 1], 180.00)	$\Sigma 49b$ ([1 9 4], 180.00)	$\Sigma 49b$ ([4 1 9], 180.00)	$\Sigma 49c$ ([1 -5 11], 120.00)	$\Sigma 49c$ ([11 1 -5], 120.00)	$\Sigma 49c$ ([-5 11 1], 120.00)
27	$\Sigma 49c$ ([6 3 2], 180.00)	$\Sigma 49a$ ([8 -3 5], 180.00)	$\Sigma 49b$ ([-1 -4 9], 180.00)	$\Sigma 49b$ ([3 -2 -6], 90.00)	$\Sigma 49c$ ([-1 -11 -5], 120.00)	$\Sigma 49a$ ([-5 8 3], 180.00)
28	$\Sigma 49c$ ([6 3 2], 180.00)	$\Sigma 49a$ ([8 -3 5], 180.00)	$\Sigma 49b$ ([-4 -9 1], 180.00)	$\Sigma 49b$ ([3 -2 -6], 90.00)	$\Sigma 49c$ ([-3 2 6], 180.00)	$\Sigma 49b$ ([3 -2 -6], 90.00)
29	$\Sigma 49c$ ([6 3 2], 180.00)	$\Sigma 49b$ ([-1 -4 9], 180.00)	$\Sigma 49b$ ([-4 -9 1], 180.00)	$\Sigma 49a$ ([-5 8 3], 180.00)	$\Sigma 49c$ ([11 -5 -1], 120.00)	$\Sigma 49b$ ([3 -2 -6], 90.00)
30	$\Sigma 49c$ ([6 3 2], 180.00)	$\Sigma 49c$ ([2 -6 3], 180.00)	$\Sigma 49a$ ([8 -3 5], 180.00)	$\Sigma 49c$ ([-3 2 6], 180.00)	$\Sigma 49b$ ([-3 2 6], 90.00)	$\Sigma 49b$ ([-3 2 6], 90.00)
31	$\Sigma 49c$ ([6 3 2], 180.00)	$\Sigma 49c$ ([2 -6 3], 180.00)	$\Sigma 49b$ ([-1 -4 9], 180.00)	$\Sigma 49c$ ([-3 2 6], 180.00)	$\Sigma 49b$ ([6 3 2], 90.00)	$\Sigma 49a$ ([-5 8 3], 180.00)
32	$\Sigma 49c$ ([6 3 2], 180.00)	$\Sigma 49c$ ([2 -6 3], 180.00)	$\Sigma 49b$ ([-4 -9 1], 180.00)	$\Sigma 49c$ ([-3 2 6], 180.00)	$\Sigma 49b$ ([3 -2 -6], 90.00)	$\Sigma 49b$ ([3 -2 -6], 90.00)
33	$\Sigma 49c$ ([6 3 2], 180.00)	$\Sigma 49c$ ([2 -6 3], 180.00)	$\Sigma 49c$ ([-3 2 6], 180.00)	$\Sigma 49c$ ([-3 2 6], 180.00)	$\Sigma 49c$ ([6 3 2], 180.00)	$\Sigma 49c$ ([2 -6 3], 180.00)

structured. Fig. 6 shows a model of polycrystal that contains only  $\Sigma 3$  and  $\Sigma 9$  boundaries.

As previously discussed, four CSL matrices are sufficient in constructing a two-dimensional polycrystal with only the coincidence boundaries whose  $\Sigma$  values are not equal to 1. But when columnar crystal grains are formed on a single-crystalline substrate with the same lattice constant as the columnar grains,

at least one more CSL matrix is necessary so that the grain-substrate interfaces and the grain boundaries can be made of the coincidence boundaries ( $\Sigma \neq 1$ ) only. Fig. 7(a) gives a diagram to find those matrices, and contains 13 triangles:  $E_1R_1R_2$ ,  $E_1R_1R_3$ ,  $E_1R_2R_3$ ,  $R_1R_2R_3$ ,  $E_2R_1R_3$ ,  $E_2R_1R_4$ ,  $E_2R_3R_4$ ,  $R_1R_3R_4$ ,  $E_3R_1R_2$ ,  $E_3R_1R_4$ ,  $E_3R_2R_4$ ,  $R_1R_2R_4$  and  $R_2R_3R_4$ . Each triangle corresponds to a set of coincidence boundaries at a

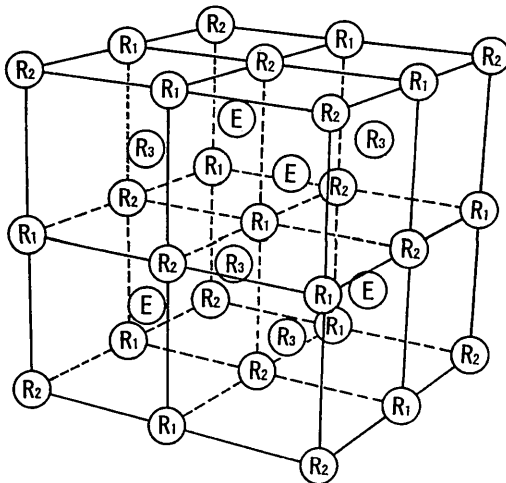


Fig. 5. CSL matrices  $E$ ,  $R_1$ ,  $R_2$  and  $R_3$  assigned to the lattice points of a cubic unit cell composed of eight b.c.c. sub unit cells.

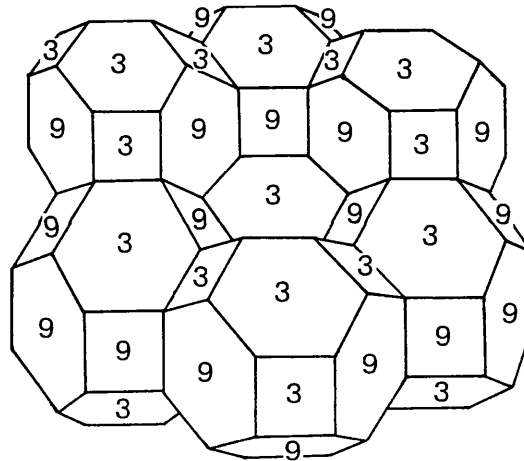


Fig. 6. A polycrystal model formed by truncated octahedrons, where grain boundaries are composed of only  $\Sigma 3$  and  $\Sigma 9$  boundaries.



Table 3. Examples of the CSL matrices (axis-angle pairs) closed for  $\Sigma$  values  $\leq 49$ 

	$R_1$	$R_2$	$R_3$	$R_4$	$R_1^{-1}R_2$	$R_1^{-1}R_3$	$R_1^{-1}R_4$	$R_2^{-1}R_3$	$R_2^{-1}R_4$	$R_3^{-1}R_4$
No. 1	$\Sigma 3$ [1 1 1] 180.00	$\Sigma 9$ [-2 -2 1] 180.00	$\Sigma 9$ [2 -1 2] 180.00	$\Sigma 9$ [-1 2 2] 180.00	$\Sigma 3$ [1 -1 0] 109.47	$\Sigma 3$ [-1 0 1] 109.47	$\Sigma 3$ [0 1 -1] 109.47	$\Sigma 9$ [-1 2 2] 180.00	$\Sigma 9$ [2 -1 2] 180.00	$\Sigma 9$ [-2 -2 1] 180.00
No. 2	$\Sigma 3$ [-1 -1 -1] 60.00	$\Sigma 5$ [-2 1 0] 180.00	$\Sigma 15$ [2 -1 5] 180.00	$\Sigma 15$ [-1 8 5] 180.00	$\Sigma 15$ [-7 1 3] 165.17	$\Sigma 15$ [-2 1 -2] 143.13	$\Sigma 15$ [1 -3 -4] 137.17	$\Sigma 3$ [1 2 0] 131.81	$\Sigma 9$ [-1 -2 3] 123.75	$\Sigma 3$ [3 1 -1] 146.44
No. 3	$\Sigma 3$ [1 1 1] 180.00	$\Sigma 7$ [3 2 1] 180.00	$\Sigma 7$ [-3 2 1] 180.00	$\Sigma 7$ [3 -2 1] 180.00	$\Sigma 7$ [1 -2 1] 44.42	$\Sigma 21b$ [-1 -4 5] 180.00	$\Sigma 21b$ [-3 -2 5] 144.05	$\Sigma 21b$ [0 -1 2] 146.80	$\Sigma 49c$ [-1 0 3] 129.25	$\Sigma 49c$ [2 3 0] 62.01
No. 4	$\Sigma 3$ [1 1 1] 180.00	$\Sigma 15$ [-5 -2 1] 180.00	$\Sigma 15$ [2 -1 5] 180.00	$\Sigma 15$ [-1 5 2] 180.00	$\Sigma 5$ [1 -2 1] 101.54	$\Sigma 5$ [-2 1 1] 101.54	$\Sigma 5$ [1 1 -2] 101.54	$\Sigma 25b$ [-1 3 1] 168.52	$\Sigma 25b$ [-1 1 -3] 168.52	$\Sigma 25b$ [3 1 -1] 168.52
No. 5	$\Sigma 15$ [5 2 1] 180.00	$\Sigma 15$ [-2 1 5] 180.00	$\Sigma 15$ [1 -5 2] 180.00	$\Sigma 15$ [2 5 1] 180.00	$\Sigma 25b$ [1 -3 1] 168.52	$\Sigma 25b$ [1 -1 -3] 168.52	$\Sigma 25a$ [1 1 -7] 91.15	$\Sigma 25b$ [-3 -1 -1] 168.52	$\Sigma 25b$ [2 -1 1] 156.93	$\Sigma 25b$ [-5 -1 5] 91.15

triple junction and each three  $\Sigma$  values on the triangle edges must satisfy the combination rule. Fig. 7(b) shows an example of candidate  $\Sigma$  values for Fig. 7(a). It is expected that the whole grain boundaries can be composed of only  $\Sigma 3$ ,  $\Sigma 7$ ,  $\Sigma 21$  and  $\Sigma 49$  coincidence boundaries. Several combinations of the

CSL matrices that are closed for special  $\Sigma$  values are numerically obtained as shown in Table 3. Figs. 8(a) and (b) show the grain-boundary models to demonstrate the consideration. The CSL matrices  $E$  and  $R_1$ - $R_4$  of group No. 3 in Table 3 are assigned to the grains in Fig. 8(a) so that the same matrices do not adjoin each other and the calculated  $\Sigma$  values are given to the grain boundaries as shown in Fig. 8(b). The combination rule is seen to hold at every triple junction of the grain boundaries and grain-substrate interfaces.

## 5. Conclusions

Grain boundaries in cubic polycrystals have been discussed for the special cases that the orientation

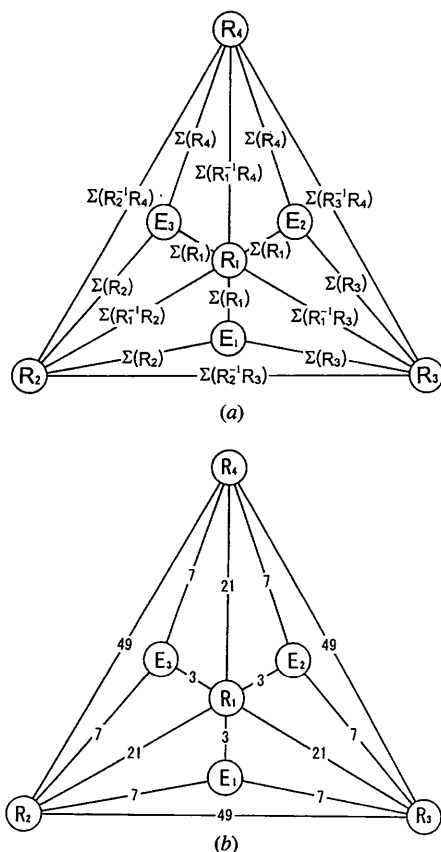


Fig. 7. (a) A diagram showing the relationships among five CSL matrices,  $E$  ( $= E_1 = E_2 = E_3$ ),  $R_1$ ,  $R_2$ ,  $R_3$  and  $R_4$ .  $\Sigma$  values derived from the orientation difference between two CSL matrices are shown on the triangle edges. (b) Examples of the combinations of  $\Sigma$  values that satisfy (a).

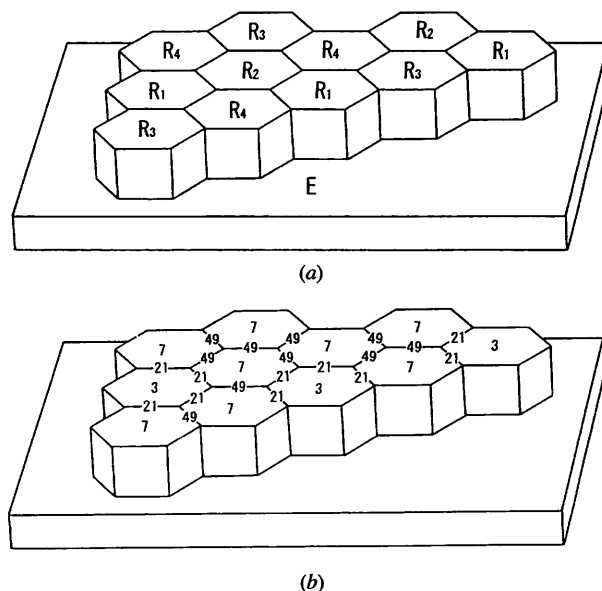


Fig. 8. (a) A model of columnar grains with coincidence boundaries grown on a single-crystalline substrate. CSL matrices  $E$ ,  $R_1$ ,  $R_2$  and  $R_3$  are assigned to the grains so that the same matrix may not adjoin. (b) Assigned  $\Sigma$  values according to Table 3 and (a). Numbers on the top surface of columnar grains indicate  $\Sigma$  values of grain-substrate interfaces.

relationships among the grains at triple junctions are described by the CSL matrices. Three coincidence boundaries can meet at a triple junction bound by a combination rule about the  $\Sigma$  values. The combination rule can be used to find candidate  $\Sigma$  values of coincidence boundaries at triple junctions and also at quadruple junctions. Examples of the CSL matrices that satisfy the combination rule have been tabulated and models of grain boundaries with selected  $\Sigma$  values have been demonstrated. The grain boundaries in the actual polycrystals are not always described by the ideal CSL orientation relationships but the combination rule is expected to be useful in the design of polycrystals with important coincidence boundaries.

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