Frederick Seitz Materials Research Laboratory – University of Illinois at Urbana-Champaign





Scanning Electron Microscopy (SEM) and Focused Ion Beams (FIB) in Materials Research

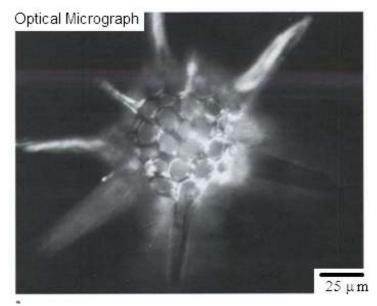
Jim Mabon and Wacek Swiech

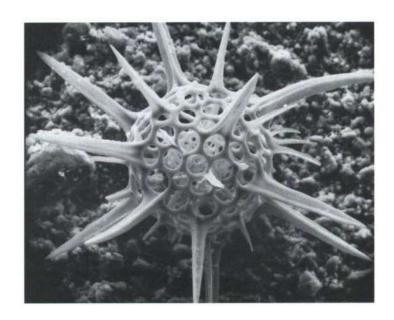
Frederick Seitz Materials Research Laboratory University of Illinois at Urbana-Champaign





Comparison to Optical Microscopy





Optical

SEM (secondary electron)

The higher <u>resolution</u> and <u>depth of focus</u> available with the SEM are clearly observed, The SEM also provides a very wide, easily adjustable range of magnifications. For most imaging applications minimal or no sample preparation is required.

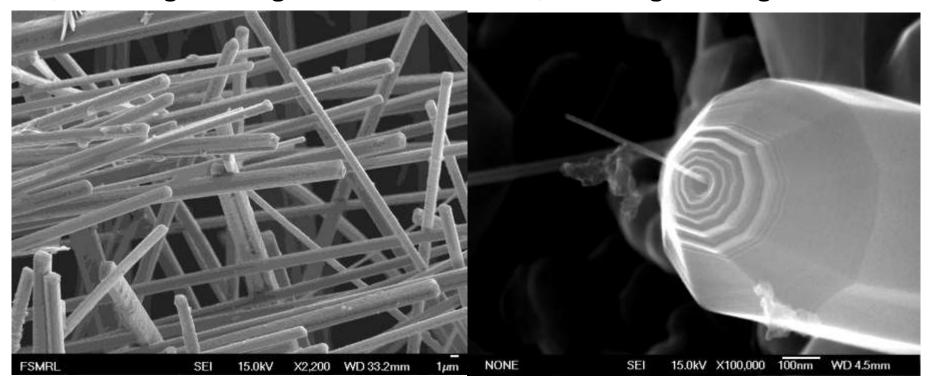
The high resolution attainable (very small probe size) is due to very low mass and short wavelength of energetic electrons (0.007nm @30kV). The combination of high brightness sources of electrons and electron optics allow the formation and manipulation of very fine focused electron beams to probe the sample surface for imaging and analysis.



Exceptionally High depth of Focus

2,200X original magnification

100,000X original magnification



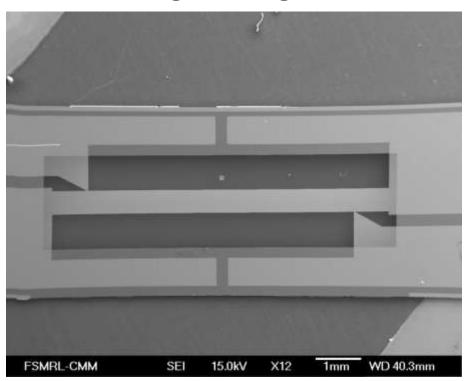
"rat's hair" psilomolene (Mn, Ba oxide natural mineral sample)

Carbon Nanotube



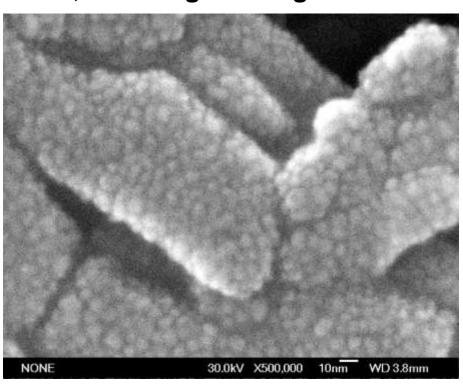
Extremely Wide Range of Magnifications

12X original magnification



Miniature Sensor Device - Calorimeter

500,000X original magnification



Sputtered Au-Pd on Magnetic Tape



What does the SEM do?



Many Applications:

One of most widely employed microscopy techniques other than optical microscopy.

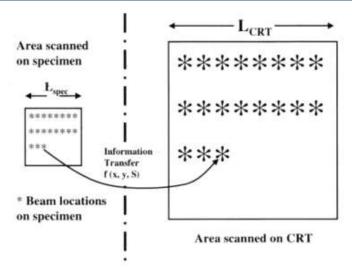
Surface topography / morphology
Composition analysis
Crystallography (electron diffraction and channeling techniques)
Optical/Electronic properties
(cathodoluminescence, EBIC)
Many other more specialized applications

- A Scanning Electron Microscope is an instrument for observing and analyzing the surface microstructure of a bulk sample using a <u>finely focused beam</u> of energetic electrons.
- An electron-optical system is used to form the electron probe which may be scanned across the surface of the sample in a raster pattern.
 - Various signals are generated through the interaction of this beam with the sample. These signals may be collected or analyzed with the application of appropriate detectors.
- For imaging, the signal amplitude obtained at each position in the raster pattern may be assembled to form an image.



Sequential Image Acquisition in SEM

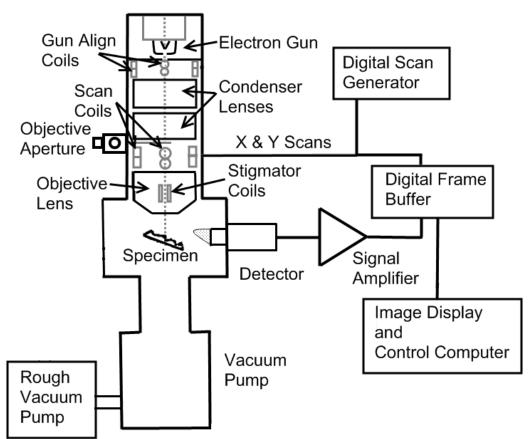




- The scan of the electron beam and the digitization of the image pixel value are synchronized with intensity proportional to the collected signal.
- Typically electrons emitted from the sample are detected to assemble the image.
- Magnification is given by the ratio of the length of the line on display device to length scanned on the real sample.



Generalized Construction of an SEM



- Vacuum System
- Electron Source and Accelerating Voltage
- Electron Lenses (electromagnetic)
 - Condenser Lens(es)
 - Objective Lens
 - Stigmator Coils
- Beam Deflectors (electromagnetic)
 - Alignment
 - Scanning (raster)
 - **Objective Aperture**
- Multi-Axis Specimen Stage
- Detectors
 - Imaging detectors
 - Analytical detectors
- Operating / Display Systems



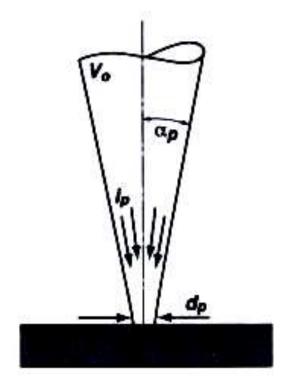
Major Electron Beam Parameters

Four electron beam parameters define the probe:

- Probe diameter dp
- Probe current Ip
- Probe convergence angle α_p
- Accelerating Voltage V_o

These interdependent parameters must be balanced by the operator to optimize the probe conditions depending on needs:

- Resolution
- Depth of Focus
- Image Quality (S/N ratio)
- Analytical Performance



Electron optical brightness, β , of the probe is essentially equal to the brightness of the source, thus is a very important electron source parameter.

$$B = \frac{\text{current}}{\text{area \cdot solid angle}} = \frac{i_p}{\left(\frac{\pi d_p^2}{4}\right) \cdot \pi \alpha_p^2} = \frac{4i_p}{\pi^2 d_p^2 \alpha_p^2}$$



Electron Sources - Thermionic Sources

Tungsten Filament









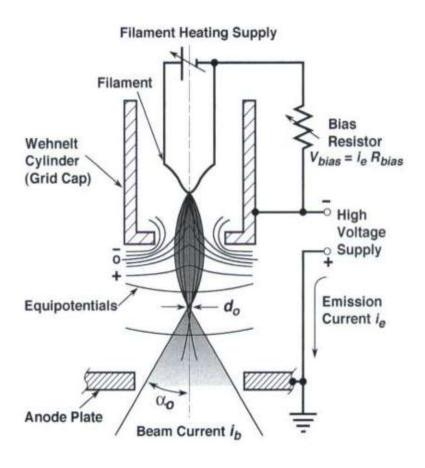
http://www.a-p-tech.com/lab6.htm

Major Advantages:

- · Very high probe currents obtainable
- Stable probe, especially W
- Less complex vacuum system
- Lowest overall cost / easy to maintain

Disadvantages:

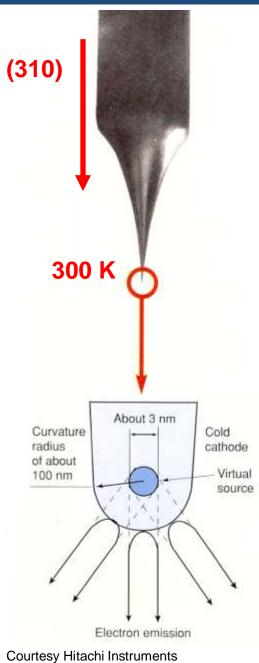
- Lower brightness
- Relatively short lifetimes



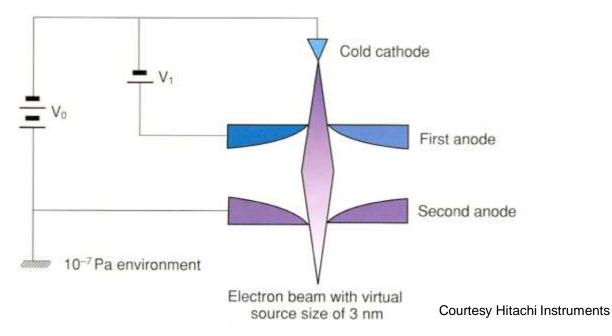
Schematic of a generalized thermionic electron source for electron microscopy.



Electron Sources -Cold Field Emission



Sharp Single Crystal (310) Tungsten Tip



Major Advantages:

- Highest brightness SEM source available
- Very long potential source lifetime many years

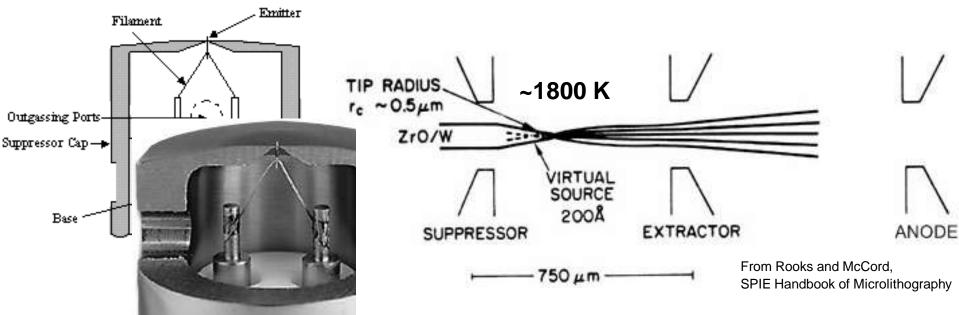
Disadvantages:

- Lowest maximum probe current
- Poor short and long term probe current stability
- · Requires ultra-high vacuum in gun area
- Cost (initial)



Electron Sources - Thermal-Field (Schottky) Emission

Sharp Single Crystal (100) Tungsten Tip with Zr0₂ Film



tttp://www.fei.com/products/components/electron-ion-sources.aspx

Disadvantages:

Major Advantages:

Very high brightness source

· Requires ultra-high vacuum in gun area

Long potential source lifetime (few years)

Excellent short and long term stability

Source heating is continuous, 24/7 (finite life)

High probe currents obtainable (few hundred nA)

Cost (initial and maintenance)

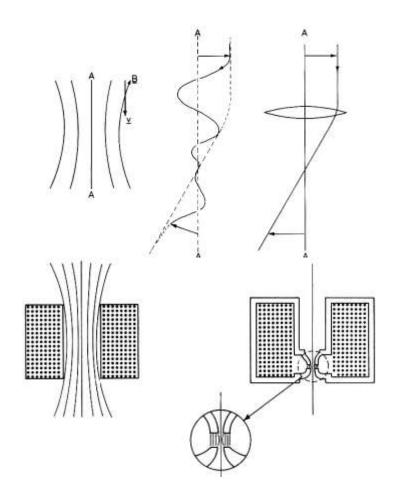


Com	parison	of E	lectron	Sources
	Pario			

	TUNGSTEN	LaB ₆	SCHOTTKY	COLD FIELD
Effective Source Size (nm)	15,000	5000	15	3
Brightness (A/cm ² SR)	10 ⁵⁻ 10 ⁶	10 ⁶ -10 ⁷	>108	10 ⁹
Energy Spread (eV)	1.0	1.0	0.5 - 1.0	0.3
Emission Current	<150μA	<100µA	<150 μA	<20μA
Maximum Probe Current (SEM)	1000+ nA	<1000 nA	10-500 nA	<2.0 nA
SEM resolution (typical specs range)	3.0 -4.0 nm	2.0-3.0nm	<1.0 – 2.0 nm	<1.0-1.5 nm
Probe Current Stability (%/hour)	<1	<2	<1	>10
Operating Temperature (K)	2800	1850	1800	300
Operating Vacuum (Pa)	<10 ⁻²	< 10 ⁻⁵	<10 ⁻⁷	<10 ⁻⁷
Typical Service Life	100 hrs	1000 hrs	>>1 year	>>>1 year
Cost	\$	\$\$	\$\$\$	\$\$\$

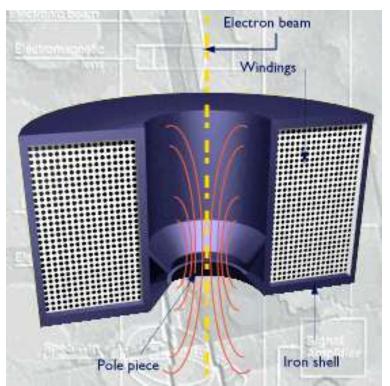


Lenses in Electron-Optical Columns



Magnetic Lens

- Electromagnet coil
- Precision machined soft iron "pole piece"



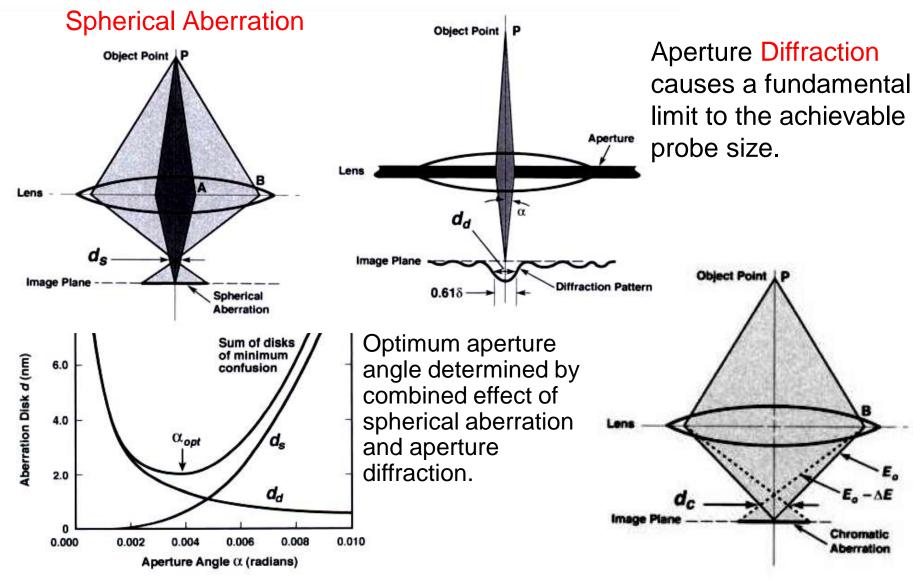
Graphic from A Guide to X-Ray Microanalysis, Oxford Microanalytical Instruments

Limiting Parameters

- Spherical Aberration
- Chromatic Aberration
- Astigmatism
- Aperture diffraction



Lens Aberrations / Optimum Aperture Angle



Adapted from Scanning Electron Microscopy and X-Ray Microanalysis, Joseph I. Goldstein et al. Plenum Press

Chromatic Aberration

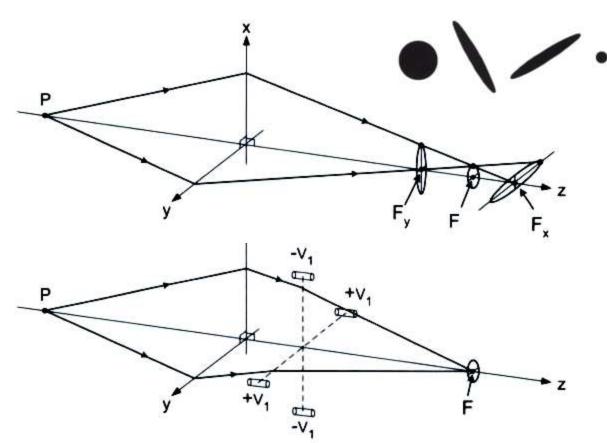


Lens Aberrations / Astigmatism

Astigmatism is caused by imperfections in the lens or other interference.

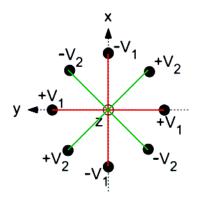
It can be corrected using additional elements called stigmators

contained inside the objective lens.



S N M

Magnetostatic quadrupole lens is basis of a stigmator

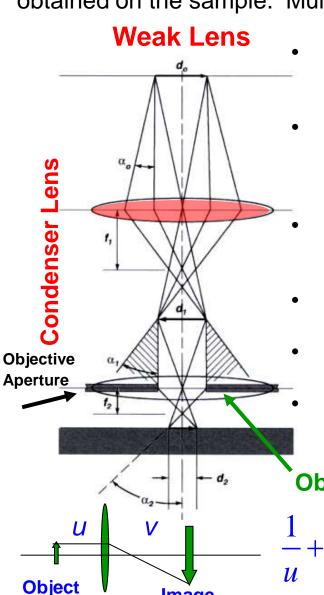


Octupole lens stigmator



Function of Condenser Lens(es)

De-magnify the beam extracted from the source to enable a small spot to be obtained on the sample. Multiple lenses may be used in the condenser lens system.



Image

- Longer focal length, Small α_1 , Larger d_1
- More beam accepted into objective aperture
- Higher probe current at specimen
- Larger focal spot at specimen
- Lower resolution
 Higher Signal Levels
- **Objective Lens**



Strong Lens Short focal length, Larger α_1 , Smaller d₁ Less beam accepted into objective aperture Lower probe current at specimen **Smaller focal** spot at specimen Higher Resolution **Lower Signal** Levels

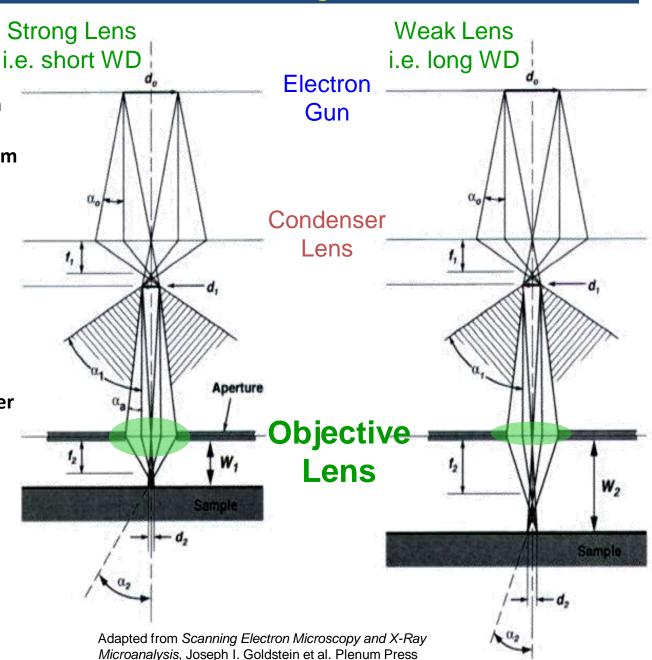


Objective Lens / Working Distance

Focus the electron beam on the specimen with minimal lens aberrations, astigmatism is corrected

- Short Focal Lengths(W₁)
 -> smaller d₂, larger α₂ -> better resolution, poorer depth of focus
- Longer Focal Lengths(W₂)
 -> larger d₂, smaller α₂ -> better depth of focus, poorer resolution
- Smaller Apertures

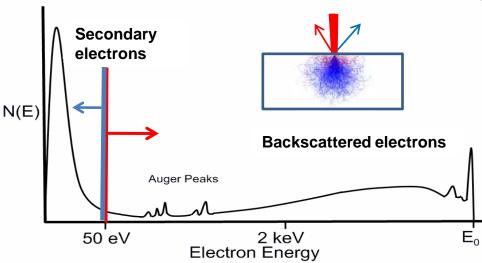
-> smaller d_2 , smaller α_2 -> better resolution & better depth of focus, limited by aperture diffraction or S/N





Secondary and Backscattered Electrons

Energy Distribution of Emitted Electrons

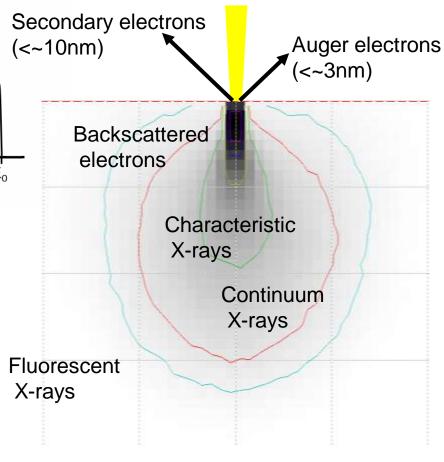


Secondary electrons are low energy electrons (<50eV) ejected from the specimen atoms by the energetic primary beam.

Backscattered electrons are primary beam electrons scattered back out of the sample.

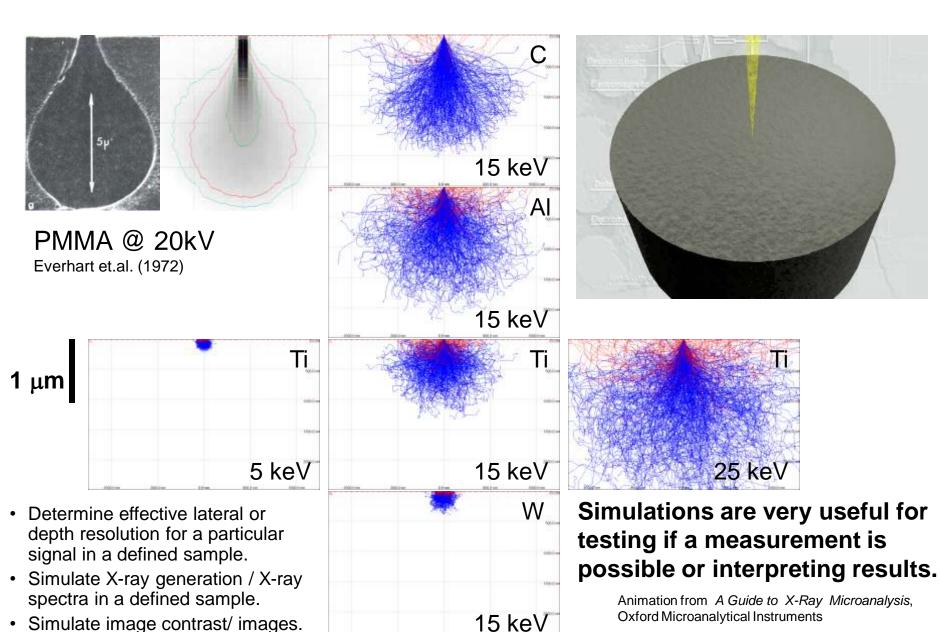
There is a purely elastic peak and a continuum of BSE that have lost energy.

Depth/Lateral Distribution of Emitted Electrons (and other emissions)





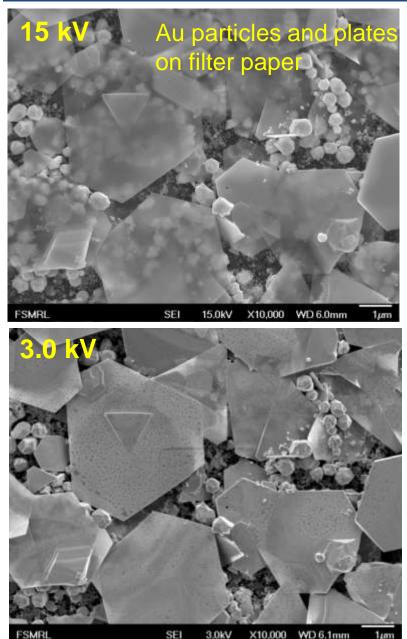
Monte-Carlo simulations of electron scattering

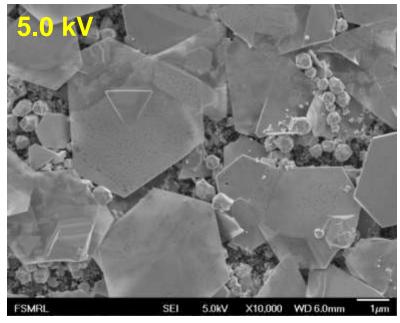


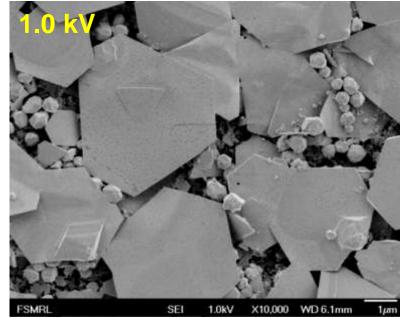
Monte Carlo Calculations, CASINO



Effect of Beam Energy on SE Imaging



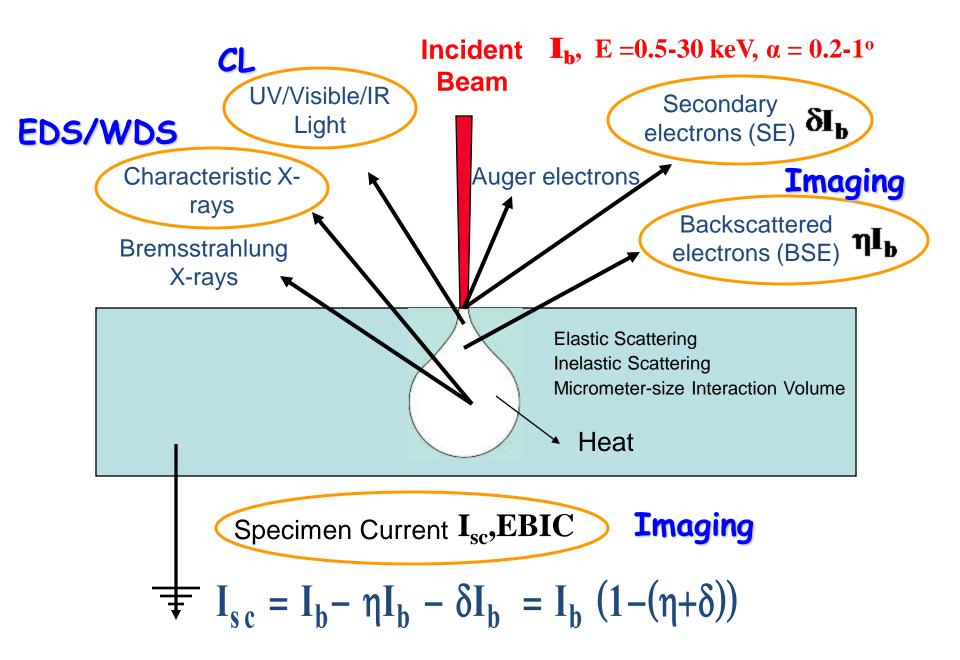




Sample courtesy Tom Bassett (P. Kenis group)

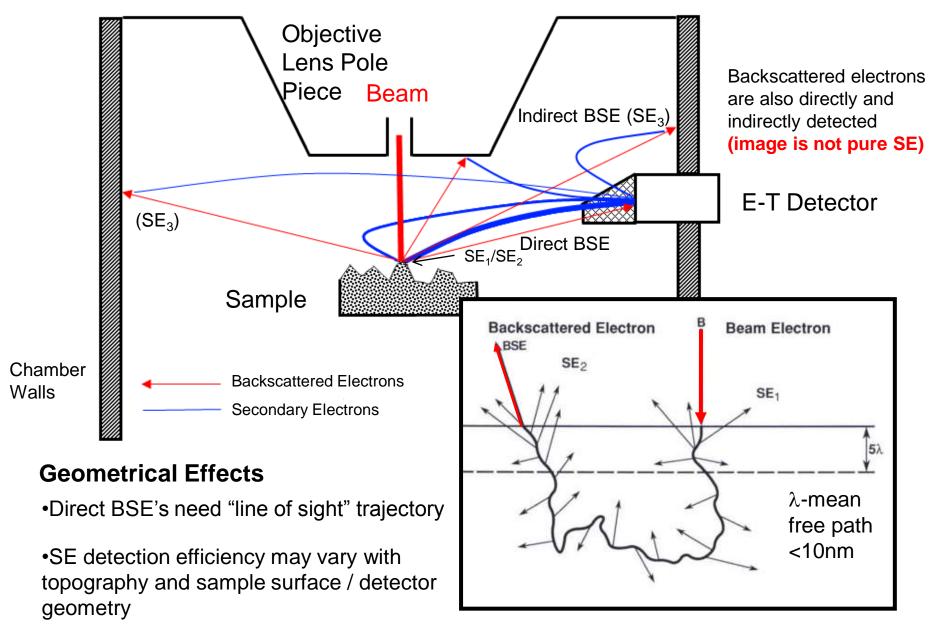


Electron Beam / Specimen Interactions



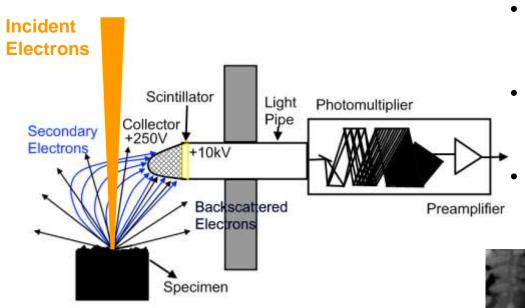


Sources of Electrons Detected By E-T Detector





"Secondary Electron Detector" / Imaging

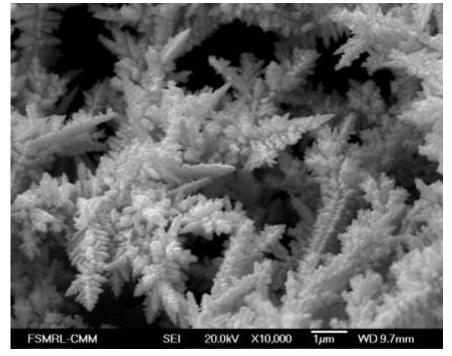


Everhart-Thornley SE detector

Contrast results from topographical dependence of the secondary electron yield, other detected electrons (BSE), edge effects, and geometrical collection effects.

Electrodeposited Gold Dendritic Structure

- Faraday Cage (collector) is usually biased a few hundred volts positive (for collection efficiency).
- Scintillator is biased +10kV to accelerate electrons to sufficient energy to efficiently excite scintillating material.
 - Amplified output level is directly used to set brightness (offset) and contrast (gain) in corresponding pixel in image.

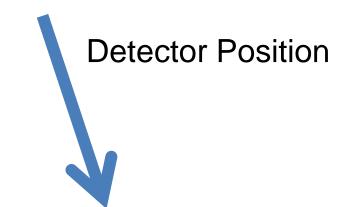


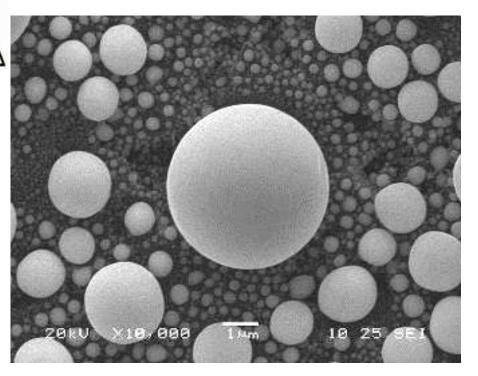


Analogy to Oblique and Diffuse Optical Illumination

From Scanning Electron Microscopy and X-Ray Microanalysis, Joseph I. Goldstein et al. Plenum Press

These dependencies on electron yield and detection in combination with the high depth of focus of the SEM, gives the familiar SEM images with a good perceptive sense of surface topography.



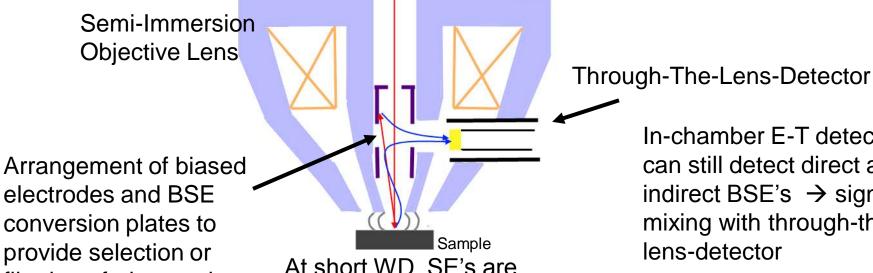




Through The Lens Detector

Highest Resolution SEM's use a semi-immersion type objective lens to improve resolution, especially at low beam energies and short WD.

Low voltage performance can be further improved along with extremely low "landing energies" made accessible by biasing sample ($V_{landing} = V_{beam} - V_{bias}$).



In-chamber E-T detector

can still detect direct and indirect BSE's → signal mixing with through-thelens-detector

filtering of detected energy range: Detect only SE's to only Low Angle BSE's to various mixtures.

At short WD, SE's are confined by lens field and travel up bore of lens. Low angle BSE's also travel up bore of lens

LOSS of Oblique Illumination effect



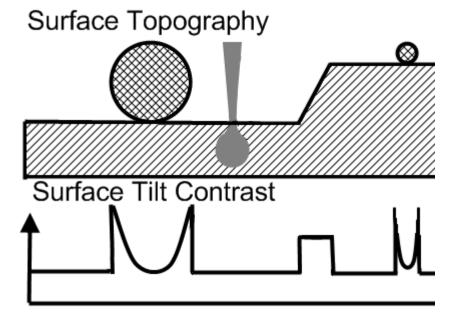
SE Yield

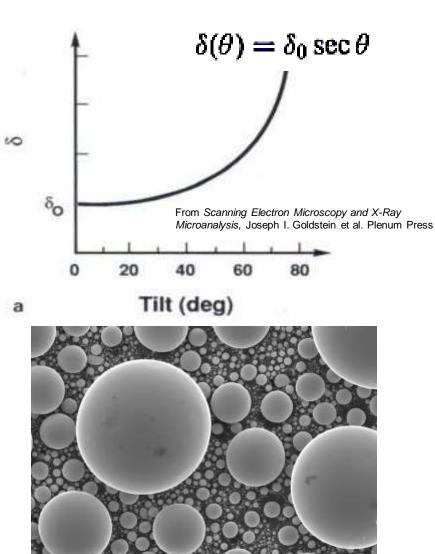
Secondary Electron Yield

SE yield is strongly dependent with angle (local) of incidence of the beam with the sample surface.

Geometrically the volume of material from which secondary electrons escape increases proportionally with the secant of the tilt angle.

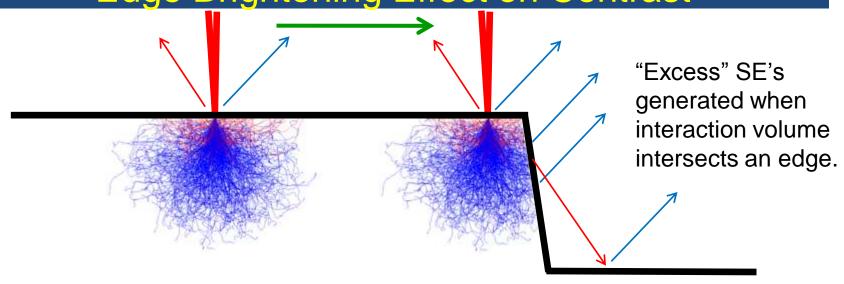
Note: There is also some material (atomic number) dependence of SE yield.

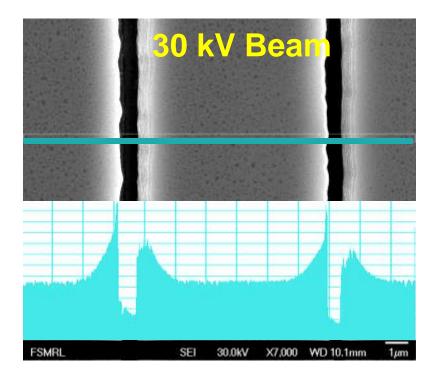


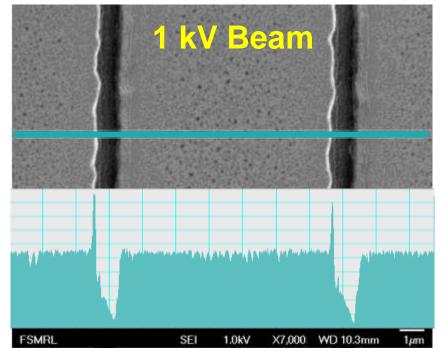




Edge Brightening Effect on Contrast





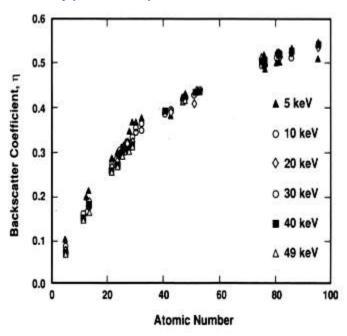




Backscattered Electron Detectors and Yield



Typical 4 quadrant solid state BSE detector



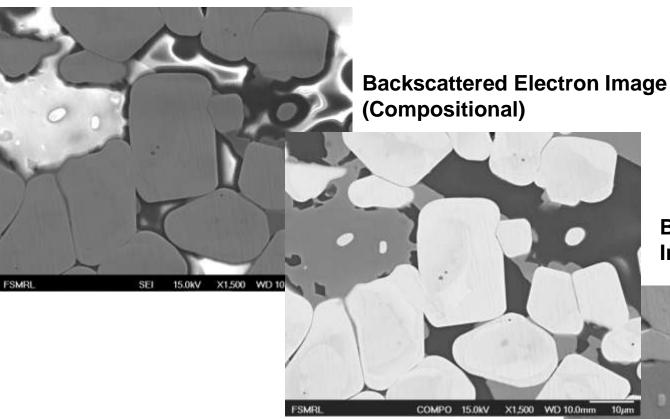
Backscattered electron yield is a strongly dependent on sample mean atomic number.

- Solid State (often 4 quadrant)
 Backscattered Electron
 detector placed annularly to
 bottom of objective lens
 (electron sensitive large area
 photodiode).
- Scintillator/PMT type detectors are also available.
- Composition image electronically sum signal from all 4 quadrants.
- BSE topographic images differencing various detector quadrants.
- Also can provide electron channeling (crystallographic orientation) contrast in suitable samples.



Backscattered Compositional Contrast

Secondary Electron Image



La, Mn, Ca, Al oxides - mixed phases

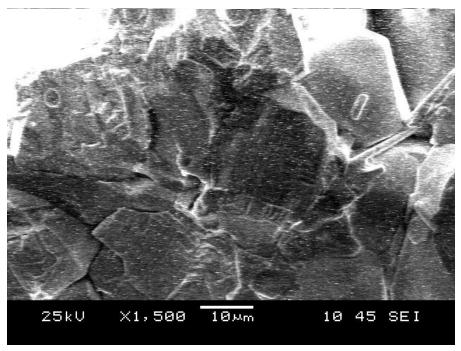
Backscattered Electron Image (Topographical)

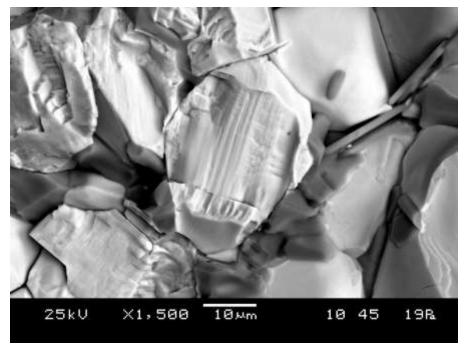
- Compositional mode imaging most useful on multi-phase samples
- Sensitivity can be as low as 0.01 average Z differences
- Flat-polished specimens preferable for best sensitivity in compositional mode



Variable Pressure (VP / LV / Environmental) SEM

- A solution to specimen charging of un-coated non-conductive samples is to introduce a gas (air, etc.) into the specimen chamber.
- The high energy electrons ionize the gas, thus positive ions are available to dynamically neutralize any charge on the sample.
- Available in both Schottky FEG and Thermionic (Tungsten) instruments.





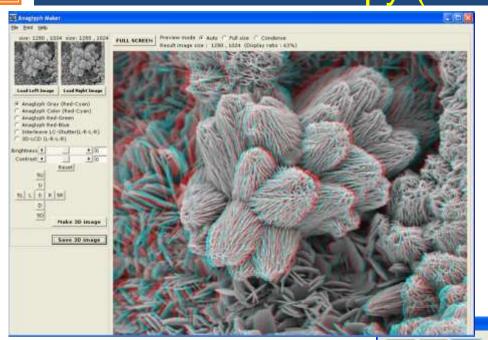
@ high vacuum

Uncoated Dysprosium Niobium Oxide Ceramic

@ 20 Pa air



Stereo-microscopy (Qualitative/Quantitative)



Qualitative (Visual)

- Anaglyph (shown here in freeware software)
- Other Methods (same as in movies, TV, scientific visualization Labs)
- Stereo Image pair is very easy to obtain and make anaglyph (essentially any SEM, image mode)

Anaglyph Freeware:http://www.stereoeye.jp/software/index_e.html

Quantitative Height Maps/Profiles

Geometrical assessment of parallax shifts in **eucentrically tilted** image pair, triplet, etc. to reconstruct a height map.

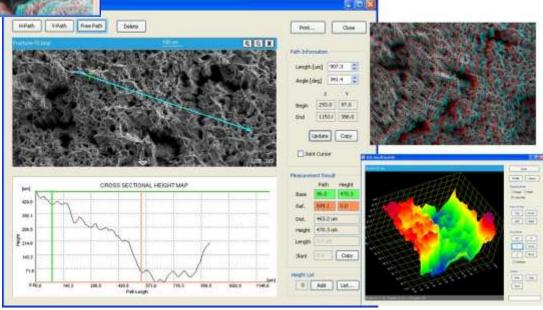
Manually – possible for limited number of points

Automated - software*

* now several commercial software solutions available

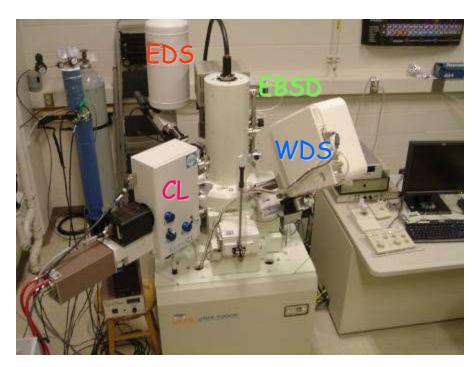
For a review and assessment of the technique see:

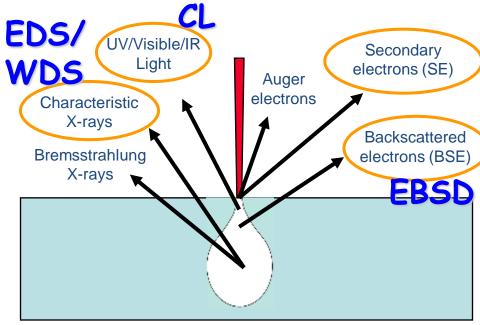
F. Marinello et.al., Critical factors in SEM 3D stereo microscopy, Meas. Sci. Technol. 19 (2008) 065705 (12pp)





JEOL JSM-7000F Analytical Scanning EM

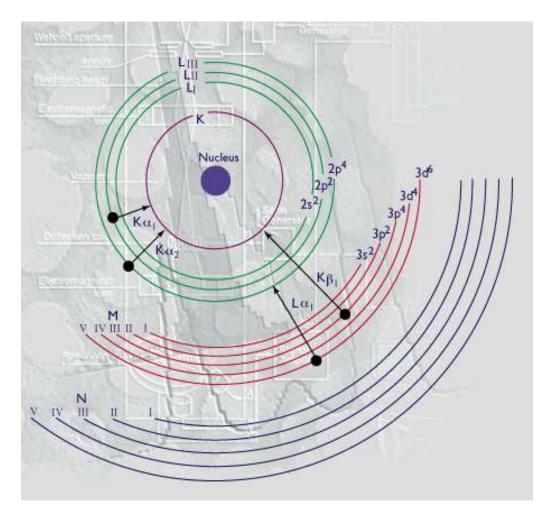




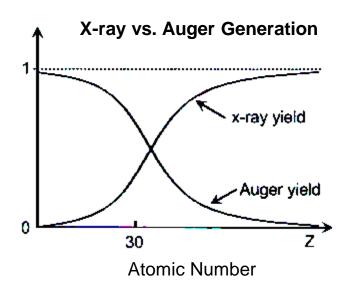
- Energy-Dispersive Spectroscopy (EDS) solid state detector simultaneously measures all energies of X-ray photons.
- Wavelength Dispersive Spectroscopy (WDS) sequentially measures intensity *vs* X-ray wavelength (energy). Superior energy resolution and detection limits (P/B ratio).
- Electron Backscattered Diffraction (EBSD) acquires electron diffraction information from surface of highly tilted bulk sample with lateral resolution of low 10's of nm.
- Cathodoluminesence (CL) optical emission spectrometer and imaging system for 300-1,700nm. Liquid He cooled stage module.



Characteristic X-ray Generation

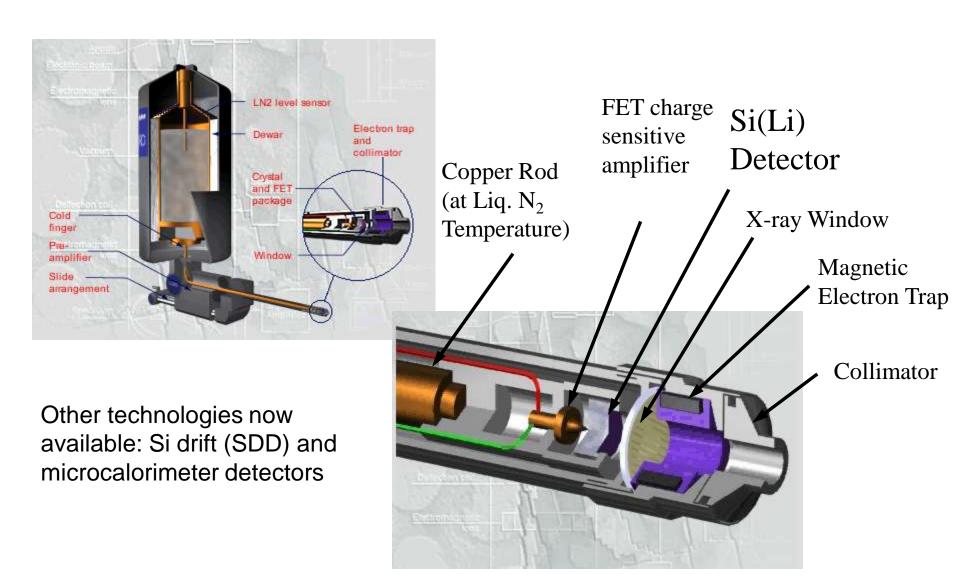


- A scattering event kicks out an electron from K,L,M, or N shell of atom in specimen.
- An electron from an outer shell falls to fill in the vacancy.
- Energy difference results in release of an X-ray of characteristic energy/wavelength or an Auger electron.



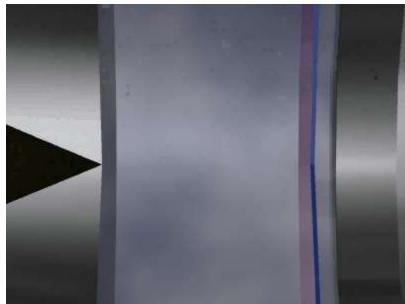


Energy Dispersive X-ray Detector: Si(Li)



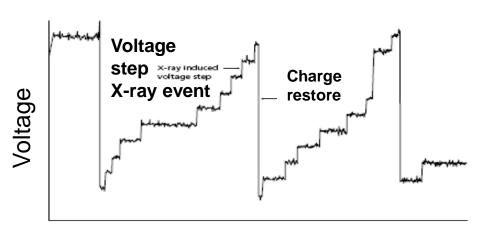


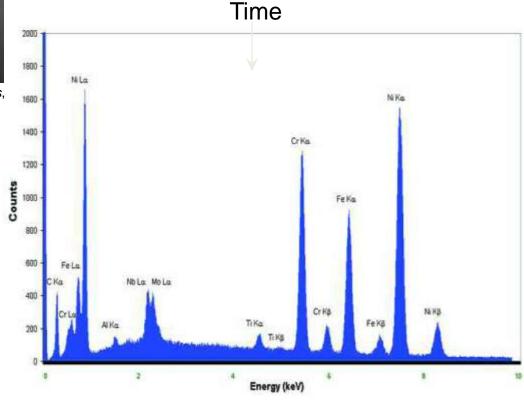
Mechanism of X-ray Energy Determination



Animations from, *The Oxford Guide to X-Ray Microanalysis*, Oxford Instruments Microanalysis Group

- X-ray loses energy through inelastic scattering events creating electron / hole pairs
- High voltage bias keeps generated pairs from re-combining
- Charge sensitive amplifier "counts" pairs generated by X-ray
- Spectrometer calibration effectively multiplies by energy/pair (3.8 eV) to determine X-ray energy

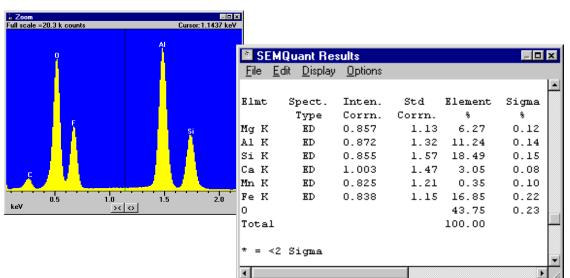


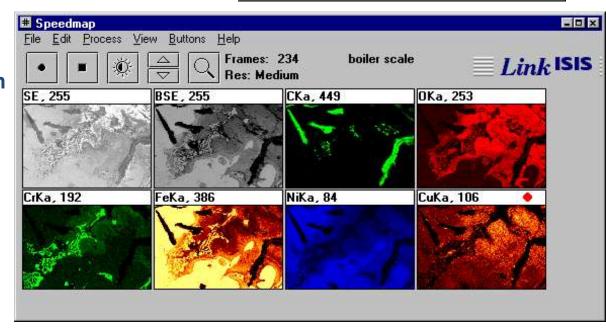




X-ray EDS Microanalysis in the SEM

- Fast Parallel Detection
- Qualitative elemental analysis
 - From Beryllium up on periodic table
 - Sensitivities to <0.1 wt.% depending on matrix and composition
- Quantitative analysis
 - Many requirements / Limitations
- Digital elemental distribution imaging and line-scans, full spectrum imaging
- Analysis of small volumes, from order of μm^3 to << 1 μm^3 depending on accelerating voltage, element analyzed, and matrix
- ~130 eV Mn K-alpha resolution typical for Si(Li) detector

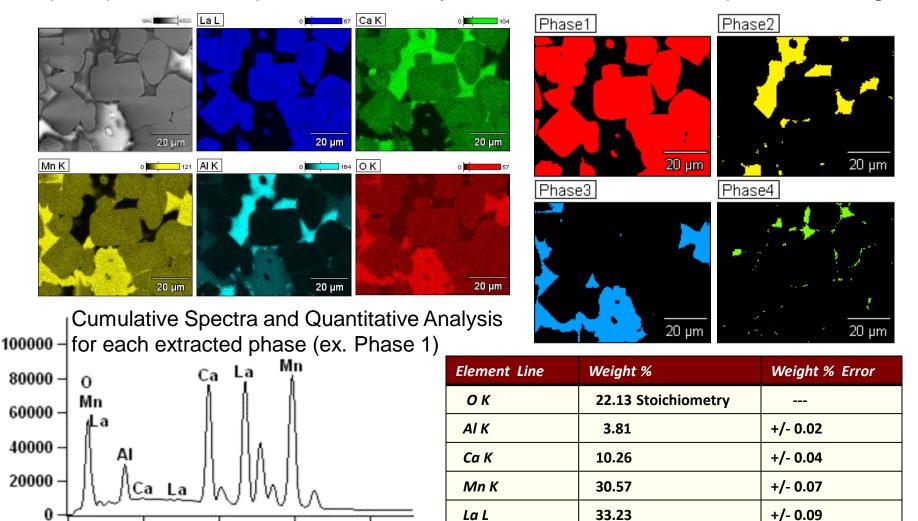






EDS Full Spectrum Imaging

A full X-ray spectrum collected for each pixel. X-ray elemental maps, phase maps, spectra, and quantitative analysis extracted from full spectrum images.

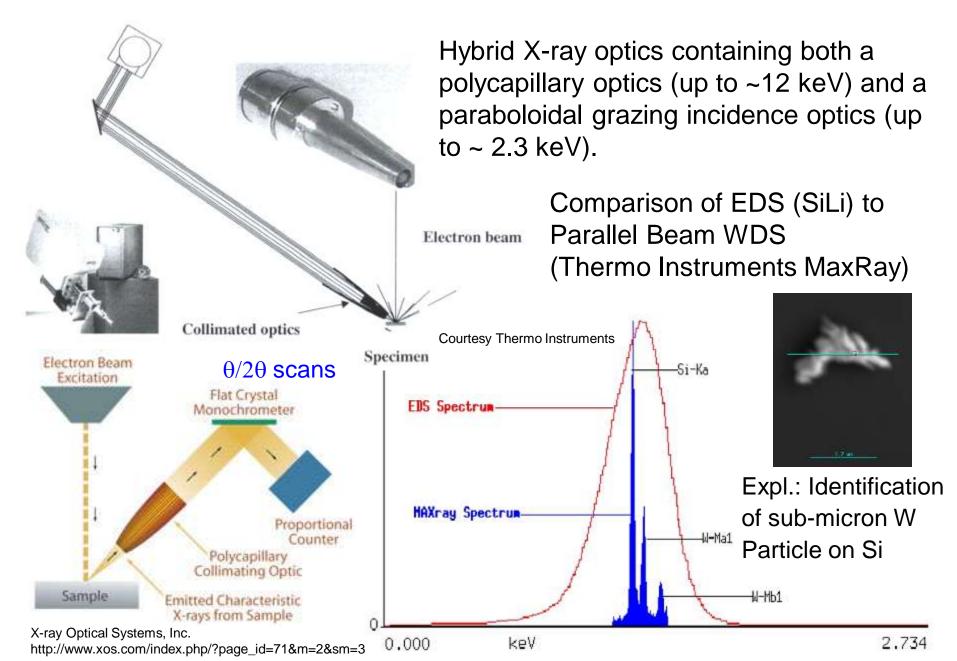


keV

Thermo Instr.: Noran System Six

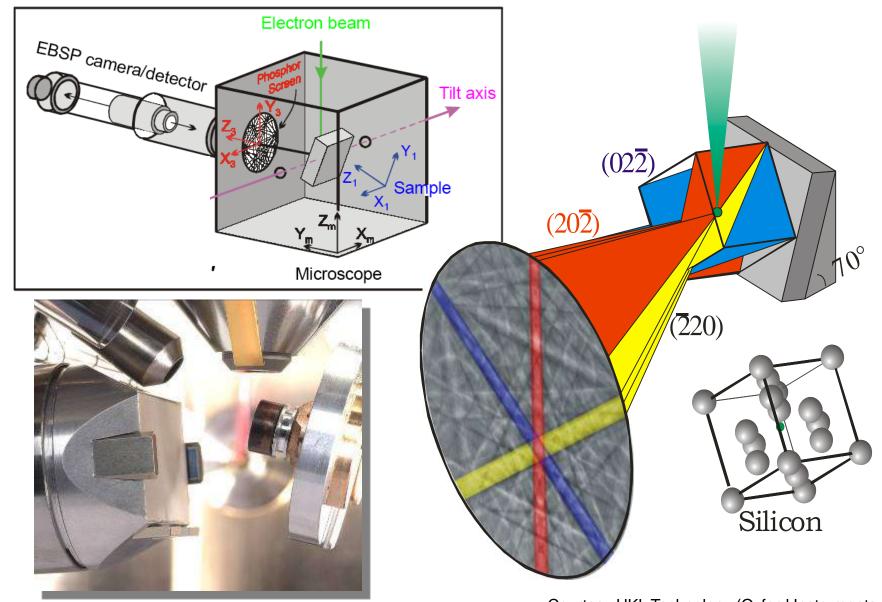


Parallel Beam Wavelength Dispersive Spectroscopy





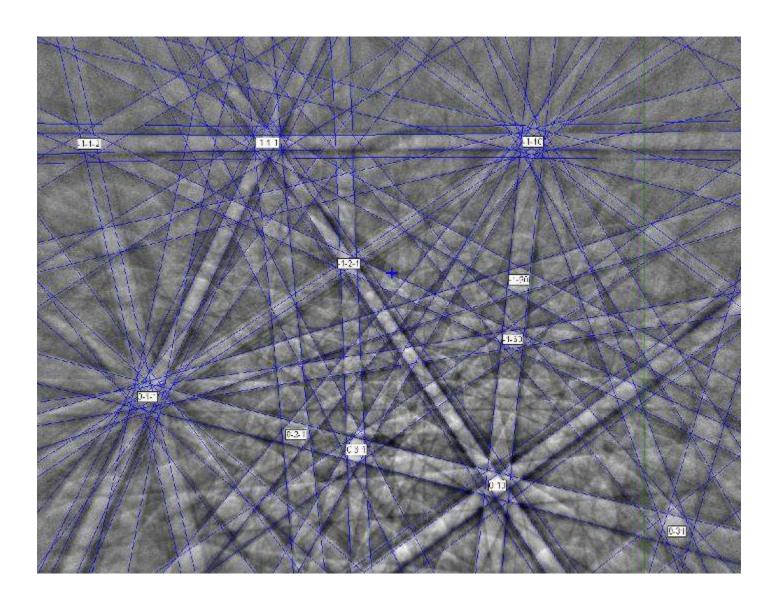
Electron Backscattered Diffraction in the SEM (EBSD)



Courtesy HKL Technology (Oxford Instruments Microanalysis Group)



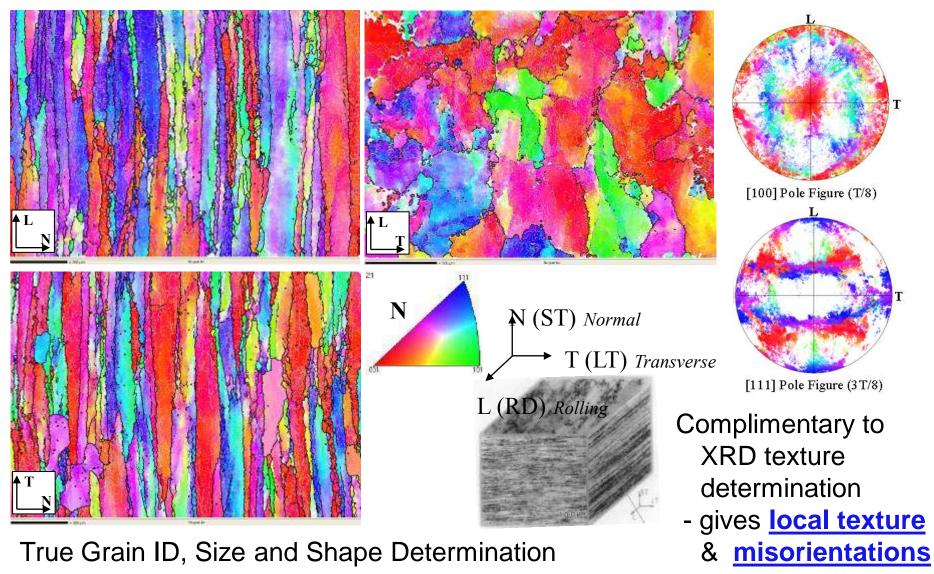
EBSD of GaAs Wafer





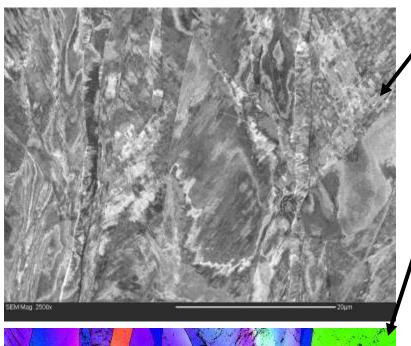
Microtexture in Al-Li Alloys for Future Aerospace Applications

Investigation of crystallographic aspects grain morphology and delaminations





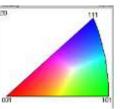
Phase Discrimination by EBSD



Forward Scatter image

Z-projected Inverse Pole Figure

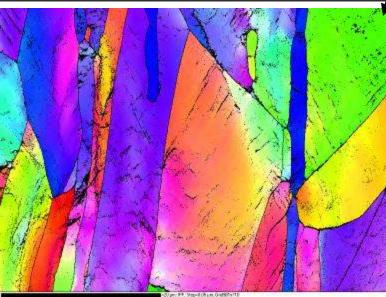
Image

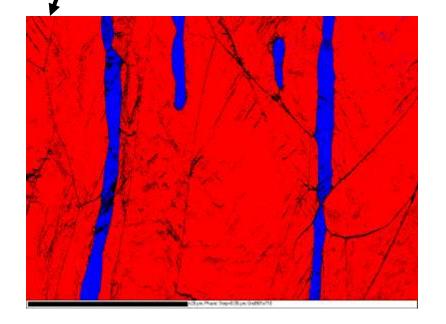


Phase Image (Stainless Steel)

Red = FCC iron

Blue = BCC iron

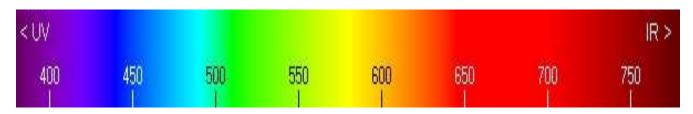






Cathodoluminesence (CL)

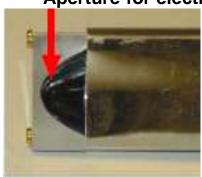
Emission of light from a material during irradiation by an energetic beam of electrons.



Collection of emitted light

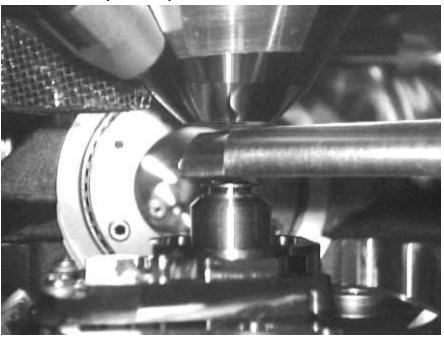
Parabaloidal mirror placed immediately above sample (sample surface at focal point)

Aperture for electron beam



Wavelength (nm)

Collection optic in position between OL lens and sample

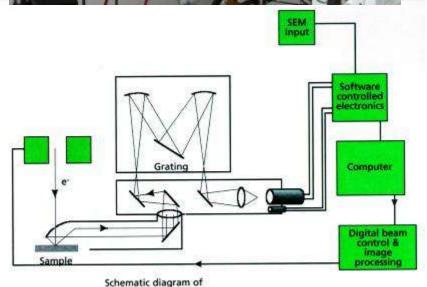




Cathodoluminesence Imaging and Spectroscopy

- Optical spectroscopy from 300 to 1700 nm
- Panchromatic and monochromatic imaging (spatial resolution - 0.1 to 1 micrometer)
- Parallel Spectroscopy (CCD) and full spectrum imaging
- Enhanced spectroscopy and/or imaging with cooled samples (liq. He)
- Applications include:
 - Semiconductor bulk materials
 - Semiconductor epitaxial layers
 - Quantum wells, dots, wires
 - Opto-electronic materials
 - Phosphors
 - Diamond and diamond films
 - Ceramics
 - Geological materials
 - Biological applications
 - Plasmonic Structures

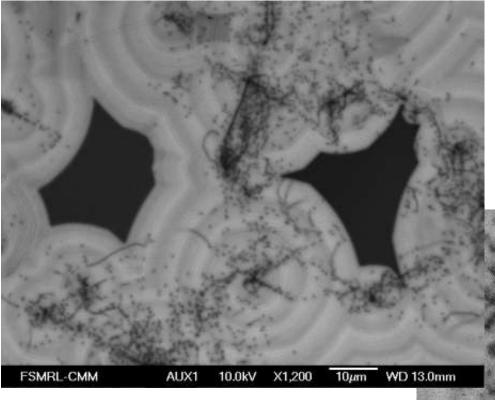




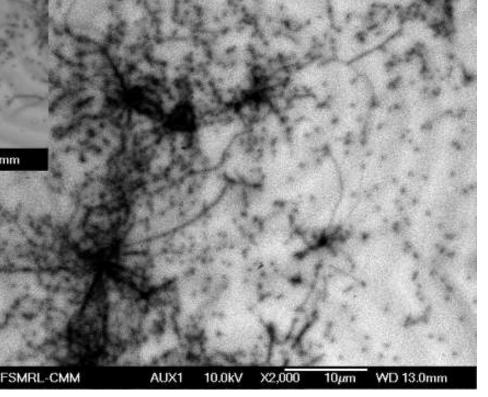
MonoCL 2



Pan-Chromatic CL imaging of GaN



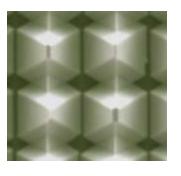
Defects (dislocations) are observed as points or lines of reduced emission; act as e-h pair traps with non-radiative recombination.



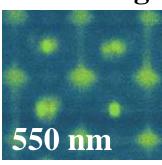


Monochromatic CL imaging of GaN Pyramids

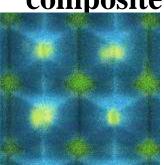
SEM

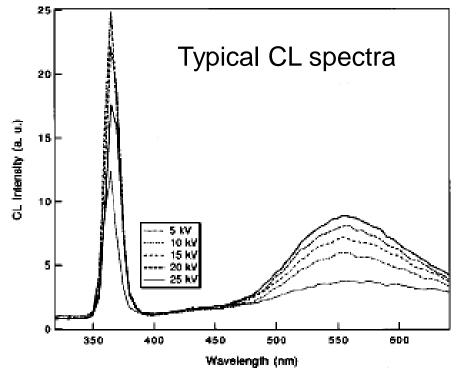


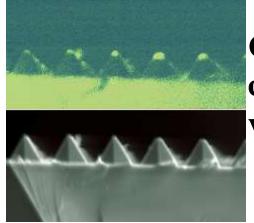
CL Image



composite







CL imaging of cross-sectional view

SEM

The strongest yellow emission comes from the apex of the elongated hexagonal structure.

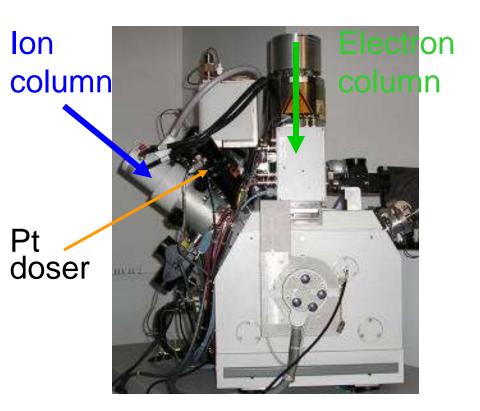


Summary: Scanning Electron Microscopy

- Remarkable depth of focus
- Imaging from millimeters to a sub-nanometer
- Chemical composition with 0.1-1 μm resolution
- Crystallography using electron EBSD
- Optical properties on a micrometer scale (via CL)



Dual-Beam Focused Ion Beam (DB235-FIB)

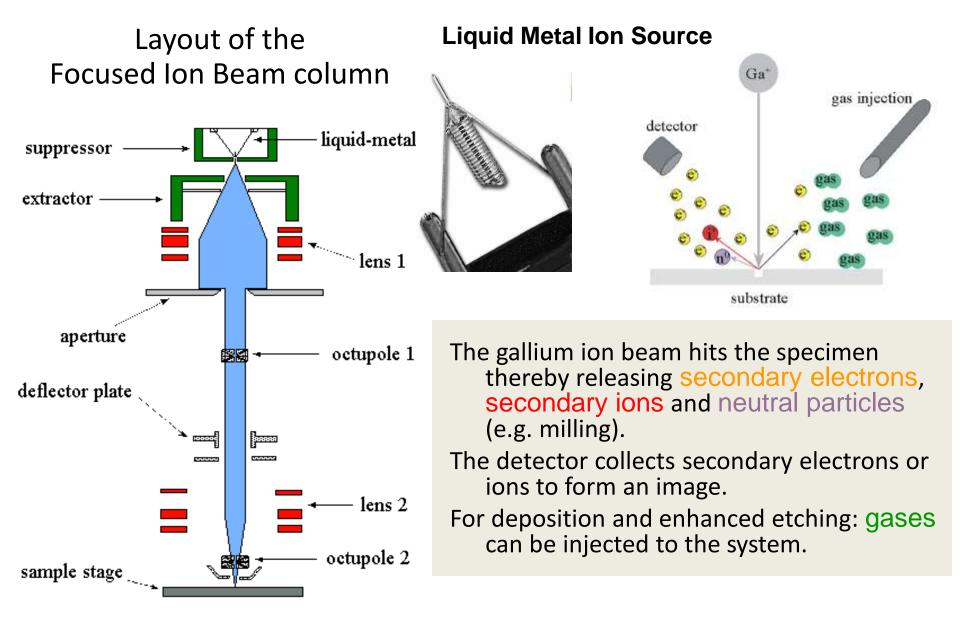


The FEI Dual-Beam DB-235 Focused Ion Beam and FEG-SEM has a high resolution imaging (7nm) Ga+ ion column for site-specific crosssectioning, TEM sample preparation, and nano-fabrication. The Scanning **Electron Microscope (SEM) column** provides high resolution (2.5 nm) imaging prior to, during, and after milling with the ion beam. The instrument is equipped with beam activated Pt deposition and 2 in-situ nanomanipulators: Omniprobe for TEM sample preparation and **Zyvex** for multiprobe experiments.

- site –specific cross-sectioning and imaging
 - * Serial sections and 3-D reconstruction are an extension of this method
- site –specific preparation of specimens for Transmission Electron Microscopy (TEM)
- site –specific preparation of specimens for EBSD
- nano-fabrication (micro-machining and beam-induced deposition)
- modification of electrical routing on semiconductor devices
- failure analysis
- · mask repair



The Focused Ion Beam (FIB) Column

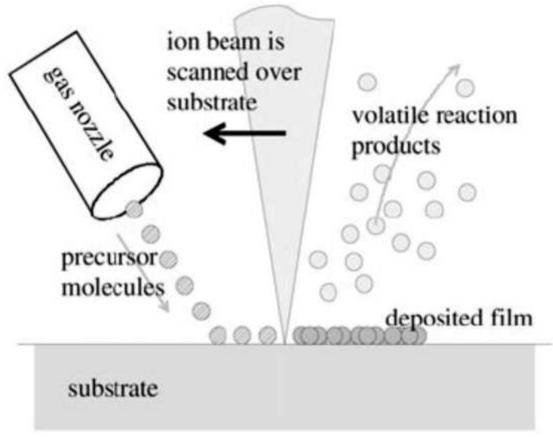




Ion/Electron Beam Induced Deposition

IBID and **EBID**

- Precursor molecules adsorb on surface.
- Precursor is decomposed by ion or electron beam impinging on surface.
- Deposited film is left on surface.
- Volatile reaction products are released.

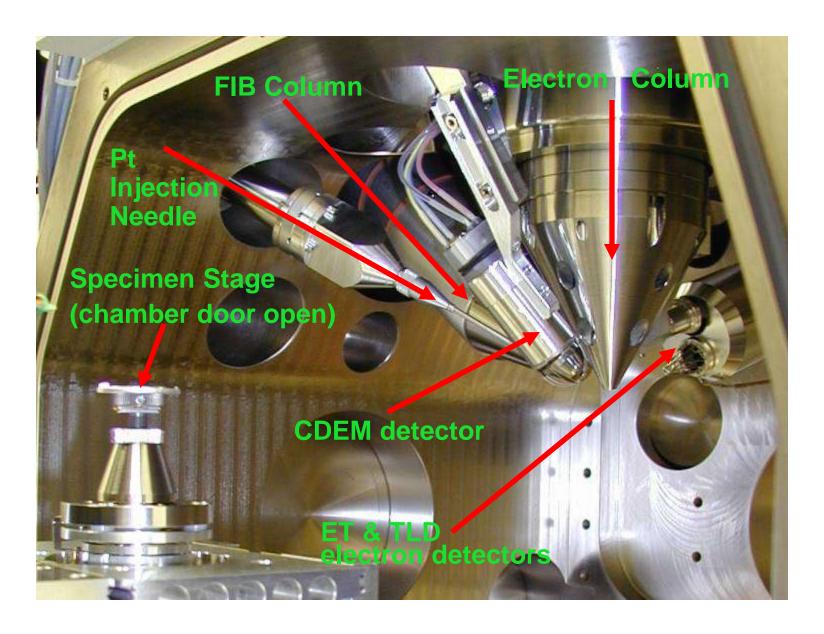


Similarly, reactive gases, can be side injected for enhanced etching in milling and improving aspect ratio for milled features.

Pt, W, and Au are common metals SiO_x can be deposited as an insulator



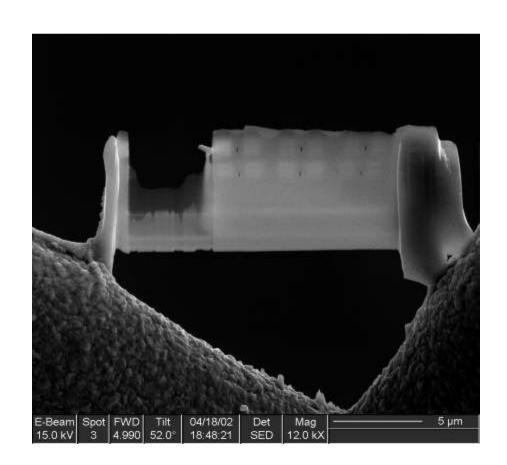
FEI DB235 - FIB Specimen Chamber





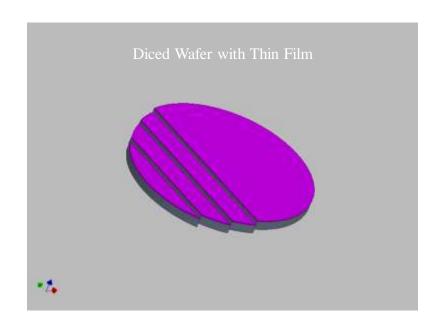
TEM Sample Preparation w/ Omniprobe

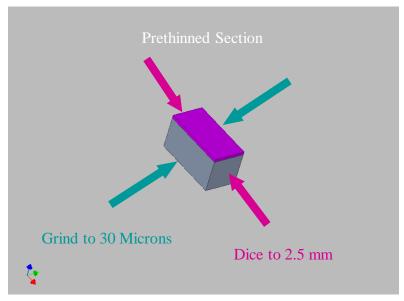
- Step 1 Locate the area of interest (site – specific)
- Step 2 Deposit a protective platinum layer
- Step 3 Mill initial trenches
- e-beam view after Step 3
- Step 5 Perform "frame cuts" and "weld" manipulator needle to sample
- Step 6 Mill to release from substrate and transfer to grid
- Step 7 "Weld" sample to a Cu TEM half-grid and FIB cut manipulator needle free
- Step 8 FIB ion polish to electron transparency

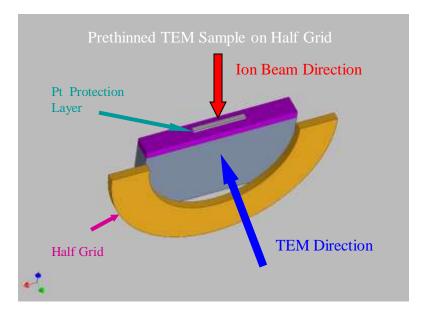


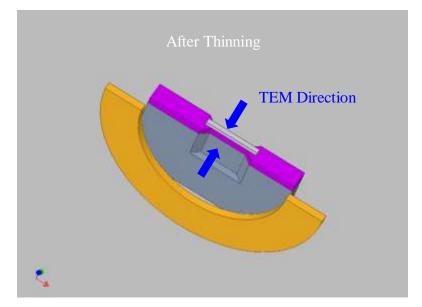


"Pre-Thinned" TEM Sample prepared by FIB









50 um

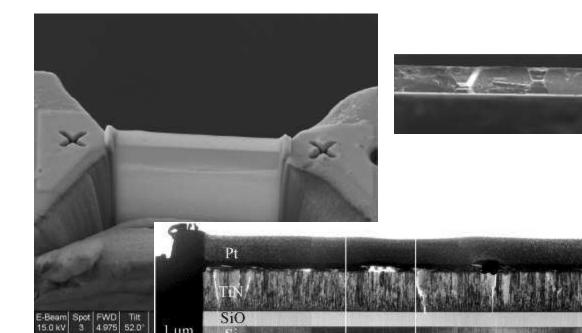


"Pre-Thinned" TEM Sample prepared by FIB

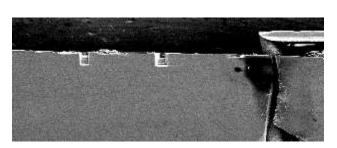
Drawing of typical "pre-thinned" specimen for FIB TEM sample preparation

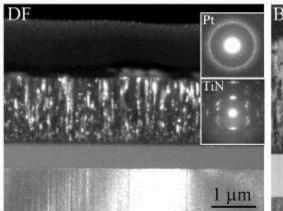


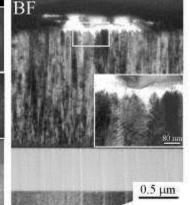




 $1 \mu m$



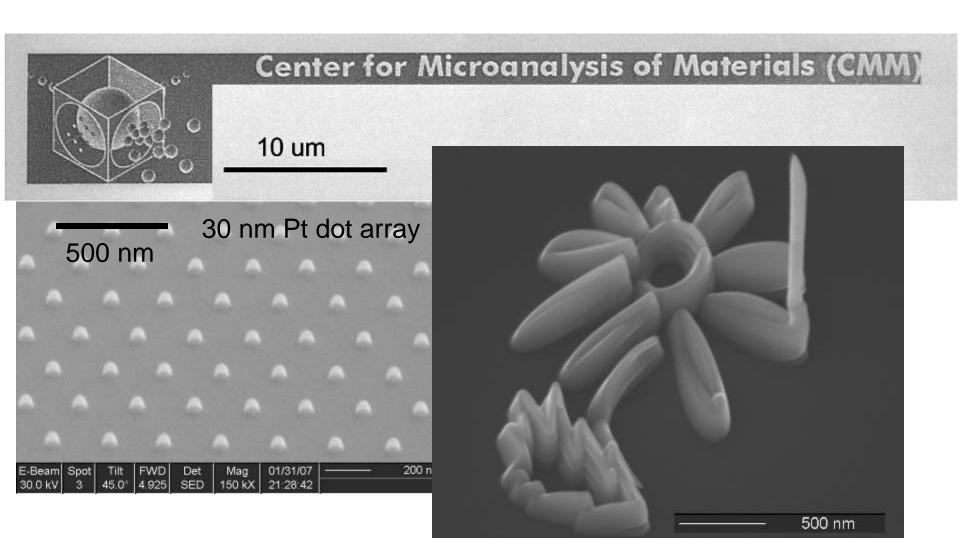






Precisely controlled etching and deposition

Etched or deposited structures using grey-scale bitmaps (more complex, parallel process) or scripting language (sequential, unlimited # of points).





Newly acquired DB-FIB: FEI Helios NanoLab 600i

Tomahawk FIB

2.5nm@30kV, 1pA-65nA Differential Pumping &TOF

ICE detector

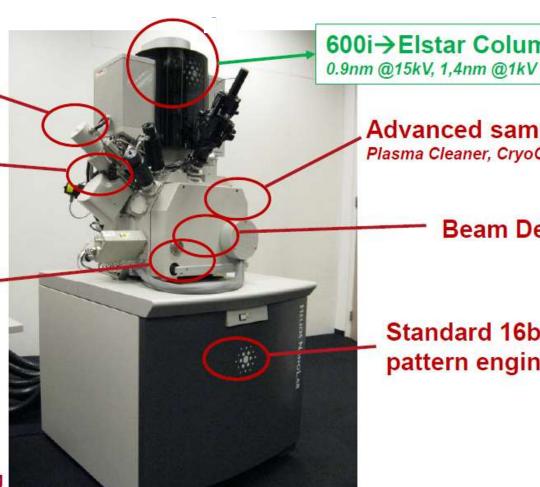
Improved FIB imaging Secondary I+ or e- Contrast

150mm high precision piezo stage

+ new features:

- detection / scan strategies
- gas injection processes
- 3D analysis
- integrated CAD prototyping

- cryo



600i→Elstar Column

Advanced sample cleaning Plasma Cleaner, CryoCleaner

Beam Deceleration

Standard 16bit scan/ pattern engine



Summary: Focus Ion Beam Microscopy

- Site –specific cross-sectioning imaging and EBSD sample preparation
 - Serial sections and 3-D reconstruction are an extension of this method
- Site –specific preparation of specimens for transmission electron microscopy (TEM)
- Nano-fabrication (micro-machining and beam-induced deposition)
- Modification of the electrical routing on semiconductor devices



References

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- <u>Energy Dispersive X-ray Analysis in the Electron Microscope</u> (Microscopy Handbooks) by DC Bell (Paperback - Jan 1, 2003)
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 Goldstein, and David C. Joy (Hardcover Mar 31, 1986)
- Monte Carlo Modeling for Electron Microscopy and Microanalysis (Oxford Series in Optical and Imaging Sciences) by David C. Joy (Hardcover - April 13, 1995)
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- Introduction to Focused Ion Beams: Instrumentation, Theory, Techniques and Practice by Lucille A. Giannuzzi and Fred A. Stevie (Hardcover Nov 19, 2004)



Acknowledgments

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